# Effectiveness of a Hand Hygiene Program at Child Care Centers: A Cluster Randomized Trial

Ernestina Azor-Martinez, MD, PhD,<sup>a</sup> Romy Yui-Hifume, MD,<sup>b</sup> Francisco J. Muñoz-Vico, MD, PhD,<sup>c</sup> Esperanza Jimenez-Noguera, MD,<sup>b</sup> Jenna Marie Strizzi, PhD,<sup>d</sup> Irene Martinez-Martinez, BNurs,<sup>a</sup> Llenalia Garcia-Fernandez, PhD,<sup>d,e</sup> María L. Seijas-Vazquez, MD,<sup>a</sup> Pilar Torres-Alegre, BNurs,<sup>a</sup> Maria A. Fernández-Campos, MD,<sup>a</sup> Francisco Gimenez-Sanchez, MD, PhD<sup>f</sup>

**OBJECTIVES:** Respiratory infections (RIs) are an important cause of morbidity and excessive antibiotic prescriptions in children attending day care centers (DCCs). We aimed to assess the effectiveness of an educational and hand hygiene program in DCCs and homes in reducing RI incidence and antibiotic prescriptions in children.

abstract

**METHODS:** A cluster, randomized, controlled, and open study of 911 children aged 0 to 3 years attending 24 DCCs in Almería (Spain) with an 8-month follow-up. Two intervention groups of DCC families performed educational and hand hygiene measures, 1 with soap and water (SWG; n = 274), another with hand sanitizer (HSG; n = 339), and the control group (CG; n = 298) followed usual hand-washing procedures. RI episode rates were compared through multilevel Poisson regression models. The percentage of days missed were compared with Poisson exact tests.

**RESULTS:** There were 5211 RI episodes registered. Children in the HSG had less risk of RI episodes (incidence rate ratio [IRR]: 0.77; 95% confidence interval [CI]: 0.68–0.88) and antibiotic prescriptions (IRR: 0.69; 95% CI: 0.57–0.84) compared with the those in the CG. Children in the SWG had a higher risk of RI episodes (IRR: 1.21; 95% CI: 1.06–1.39) and antibiotic prescriptions (IRR: 1.31; 95% CI: 1.08–1.56) than those in the HSG. Pupils missed 5186 DCC days because of RIs, and the percentage of days absent was significantly lower in the HSG compared with the CG (P < .001) and the SWG (P < .001).

**CONCLUSIONS:** Hand hygiene programs that include hand sanitizer and educational measures for DCC staff, children, and parents, reduce absent days, RIs, and antibiotic prescriptions for these infections in children at DCCs.



<sup>a</sup>Distrito Sanitario de Atención Primaria, Almería, Spain; <sup>b</sup>Servicio de Pediatría and <sup>c</sup>Unidad de Inmunologia, Hospital Torrecárdenas, Almería, Spain; <sup>d</sup>Department of Public Health, University of Copenhagen, Copenhagen, Denmark; <sup>e</sup>Seplin Soluciones Estadísticas, Granada, Spain; and <sup>f</sup>Instituto Hispalense de Pediatría, Instituto Balmis de Vacunas, Almería, Spain

The final multilevel analysis was adjusted for age at the start of DCC attendance, sex (female versus male), siblings at home (0 vs 1–2 and  $\geq$ 3), mother's age, home smoking habits (no versus yes), children's recurrent wheezing (yes versus no), history of breastfeeding (mo), and 13-valent pneumococcal conjugate vaccine (no versus yes). The DCC characteristics considered were hygiene IGs at the DCCs (none, soap, or hand sanitizer).

The final multilevel analysis was adjusted for age at the start of DCC attendance, sex (female versus male), recurrent wheezing (yes versus no), history of breastfeeding (mo), and sleeping arrangements (shared bedroom or private). The DCC characteristics considered were hygiene IGs at the DCCs (none, soap, or hand sanitizer).

Dr Azor-Martinez conceptualized and designed the study, drafted the initial and final manuscript as submitted, supervised data collection, conducted the statistical analyses, and reviewed and

WHAT'S KNOWN ON THIS SUBJECT: Children attending day care centers (DCCs) have an increased risk of respiratory infections, according to previous studies. However, it is not clear which factors influence these infections and which measures can be adopted in these centers to reduce their transmission.

WHAT THIS STUDY ADDS: This randomized study revealed that a multifactorial hand hygiene program including hand sanitizer and educational measures for DCC staff, children, and parents reduced episodes due to respiratory infections and antibiotic prescriptions for these infections in children attending DCCs.

**To cite:** Azor-Martinez E, Yui-Hifume R, Muñoz-Vico FJ, et al. Effectiveness of a Hand Hygiene Program at Child Care Centers: A Cluster Randomized Trial. *Pediatrics*. 2018;142(5): e20181245 Respiratory infections (RIs) in children <5 years old are a major public health problem because of their morbidity<sup>1,2</sup> and being the most frequent cause of excessive antibiotic prescriptions in the pediatric population, especially from ambulatory care visits.<sup>3,4</sup> In addition, attending day care centers (DCCs) increases the risk of these infections<sup>1,5–9</sup> and antibiotic prescriptions.<sup>9–11</sup> Children attending DCCs have between 6.5 and 10.4 RIs annually.<sup>5</sup> A recent study<sup>12</sup> revealed great variability in antimicrobial medication use across countries. Spain has 1 of the highest rates in Europe; among children aged 0 to 2 years, the rate of antimicrobial consumption per child-year was 1.55.

Hand-washing is the most important and effective measure to prevent infection transmission.13,14 The bactericide and virucide properties of hydroalcoholic gels or sanitizers against gastrointestinal and respiratory pathogens have been demonstrated.<sup>15–18</sup> There are studies in which researchers assess the impact of hand hygiene programs on infectious disease transmission reduction in schools<sup>19–24</sup> and households.<sup>25,26</sup> However, there are few recent studies that reveal their effectiveness in DCCs,<sup>27–31</sup> specifically, those in which researchers examine hand hygiene health education importance for day care staff and parents to reduce infection transmission in DCCs.<sup>26,32,33</sup>

Few randomized studies revealing the effectiveness of hand hygiene programs (hand sanitizers versus hand-washing versus a control) linked to a decrease in RIs in DCCs in developed countries have been published. Our aims in this study were to assess the effectiveness of an educational and hand hygiene program in DCCs and homes in reducing the incidence of RIs and antibiotic prescriptions in children at the individual level.

# **METHODS**

# Design

A cluster randomized, controlled, and open study of 3 cohorts of families with children aged 0 to 3 years attending 25 state DCCs in the Almeria metropolitan area (Spain) was designed. The study duration was 8 months (November 2013-June 2014). The Delegation of Education provided the information for 52 state DCCs. These were randomized after the administration of each agreed to participate; 25 DCCs were randomly selected, and after DCCs were assigned to either an intervention group (IG) or the control group (CG) by means of computer randomization with a 1:1:1 ratio. we used statistical software for the selections. Twenty-five randomly assigned DCC administrations informed parents by mail with the following documents: a study information sheet, an authorization form, and a questionnaire about risk factors for RIs (Table 1). Before starting the study, parents authorized their children's participation and knew which group their children belonged to.

# **Inclusion Criteria**

Children between 0 and 3 years old enrolled at the aforementioned DCCs and attending for at least 15 hours per week whose parents and/or guardians had signed an informed consent document were included.

# **Exclusion Criteria**

Children with chronic illnesses or medication that could affect their likelihood of contracting an infection were excluded.

# Sample Size

A cluster sampling design<sup>35</sup> was used with proportional allocation to the size of the cluster. The clusters were the DCCs in Almeria.

There were 52 DCCs, each with an average of 50 children. As in

 
 TABLE 1 Risk Factors for RIs Included in the Multilevel Model

Factors
Child
Age at the beginning of the study
Age at the start of DCC attendance
Hours per wk in DCC
Sex (female or male)
Country of origin
Recurrent wheezing
Duration of breastfeeding, mo
13-valent pneumococcal conjugate vaccine
Sleeping arrangements (private or shared bedroom)
Siblings at home (0, 1–2, or $\geq$ 3)
Home
Family size ( $\leq$ 3, 4–5, or $\geq$ 6 people)
Mother's age
Father's age
Mother's profession <sup>a</sup> (I, II, III, IV, V, VI, VII, VIII,
IX, or X)
Father's profession <sup>a</sup> (I, II, III, IV, V, VI, VII, VIII, IX, or X)
Mother's educational level (low, middle, or high)
Father's educational level (low, middle, or high)
Housing (flat, house, semidetached house, or other)
Home smoking habits
Season
Month of infection
DCC
Hygiene IG at the DCC (none, soap, or hand
sanitizer)
Average No. classrooms per DCC
Average space per child in classroom
(children per square meter)
No. children per staff

<sup>a</sup> Professions are according to the European Socioeconomic Classification: I, managers and professionals of a high level; II, managers and professionals of a low level; III, whitecollar employees of a high level; IV, small employers and self-employed nonagricultural workers; V, self-employed agricultural workers; VI, supervisors and technicians of a lower rank; VII, workers of services and commerce of a lower rank; VII, skilled manual workers; IX, unskilled workers; and X, excluded labor market and long-term unemployed.<sup>34</sup>

other studies,<sup>29</sup> we assume an 11% reduction in the RI incidence rate in the experimental groups with respect to the CG during the study period. Minimum selections of 6 DCCs per group were needed for a statistical power of 80% and a 5% significance level. Note that a 5% variation coefficient was considered, and the average sample size was 30 children per cluster to take into account those families that may not want to participate in the study. Furthermore, an increase of 2 DCCs per group were randomly selected for the CG and the soap-and-water group (SWG) and 3 DCCs for the hand sanitizer group (HSG), with at least 240 children per group for possible losses during the follow-up period. The expected loss to follow-up was higher in HSG because of a possible refusal of parents to apply hand sanitizer on the hands of their children.

#### Intervention

One month before beginning the study (October 1–3, 2013), parents and DCC staff assigned to IGs (HSG and SWG) and the CG attended 1-hour hand hygiene workshops, which were designed and taught by researchers. The content included education about hand-washing practices and hand sanitizer use and possible side effects and precautionary measures (only for the HSG).

Children, parents, and DCC staff in the IGs were instructed by the researchers to maintain their usual hand-washing procedures after using the toilet and when their hands were visibly dirty. Both IGs had to follow protocol in the following circumstances: after coming into the classroom; before and after lunch; after playing outside; when they went home; after coughing, sneezing, or blowing their noses; and after diapering. In the HSG and SWG classrooms, hand sanitizer and liquid soap dispensers were installed, respectively, and an informational brochure about when and how to perform hand hygiene was made available, which was also provided to the participating families of both groups. The HSG also received a supply of hand sanitizer, and the SWG received liquid soap, to use at home during the study period. The HSG children were supervised by DCC staff and parents when using the hand sanitizer, and in the case of young children, it was administered

by DCC staff and parents. The CG followed usual hand-washing procedures. The research assistant was responsible for providing hand hygiene materials to the DCCs, and they were responsible for giving these to the parents in the IGs. Characteristics of the hand sanitizer included 70% ethyl alcohol (pH = 7.0 to 7.5). The liquid soaps used for hand-washing in the SWG did not contain specific antibacterial components (pH = 5.5).

During the follow-up, 3 identical training sessions per DCC were given 1 month apart, the first 3 on RIs and their treatments and the second 3 on fever. These were organized by researchers for the parents and/or DCC staff of the IGs. Those who were unable to attend training in their own DCC were invited to attend sessions at other centers. The content of the workshops was sent by e-mail to the IGs.

Every 2 weeks, the research assistant and the DCC staff performed the same activities, including stories, songs, and posters in the classrooms and DCCs regarding hand hygiene and infection transmission.

#### Data Collection and Illness Definitions

During October 2013, the parents completed the baseline questionnaire and gave it to the DCC staff. Information about DCCs was provided by the staff (Table 1). Beginning on November 1, 2013, the parents of children who suffered RI episodes (with or without DCC absenteeism) reported RI symptoms, antibiotic treatment, contact with medical services, and complementary analyses and gave the completed form to the DCC staff weekly. The research assistant collected the episode sheets from the participating classes weekly and telephoned the parents of absent children to inquire about the cause of their absence. The DCC staff and/or parents in the IGs were asked if the hand sanitizer or

soap caused any side effects in the children.

Respiratory illness was defined as the presence of 2 of the following symptoms during 1 day or the presence of 1 of these symptoms for 2 consecutive days<sup>25,26</sup>: (1) runny nose, (2) stuffy or blocked nose or noisy breathing, (3) cough, (4) feeling hot or feverish or having chills, (5) sore throat, or (6) sneezing.

During follow-up, the research pediatricians extracted RI episode medical data from the Department of Health's electronic records. The following Anatomic Therapeutic Chemical Classification System (code [01]<sup>36</sup> and International Classification of Diseases, Ninth Revision, Clinical *Modification*<sup>37</sup> diagnosis codes were used: nonspecific upper respiratory tract infection (465.9), otitis media (382.9), pharyngotonsillitis (463), lower respiratory tract infections (485 and 486), acute bronchitis (490), and bronchiolitis (466.19). We combined the bronchopneumonia code (485) and pneumonia code (486) under the label "lower respiratory tract infections." If >1 antibiotic was prescribed during an episode, we used the first prescription for analysis. The final diagnosis was done by the medical researchers on the basis of the symptoms described above and a review of the medical history of children with RIs.

In this study, a DCC absenteeism episode was defined as when a child fails to attend a DCC because of an RI. We also record RI episodes without absenteeism at DCCs. A new RI episode was considered to be the occurrence of an RI after a period of 3 symptom-free days, as in other studies.<sup>26,29</sup> The duration of absenteeism was defined as the number of DCC days missed due to an RI, excluding weekends and holidays.

#### **Outcome Measures**

The primary outcome was the RI incidence rate, which was calculated

by the number of RI episodes divided by the number of children during the study period. The incidence rate ratio (IRR) is defined as the ratio of RIs between 2 groups.

The secondary outcomes measured were as follows: (1) the presence or absence of at least 1 antibiotic prescription for each new RI episode during the study period (topical antibiotics were excluded), and (2) the percentage of RI absenteeism days in the 3 groups calculated as the ratio of RI absenteeism days to all possible days of attendance. Rates were calculated for the study period. The total possible days of attendance was calculated as the total number of children multiplied by the possible days of attendance.

#### **Statistical Analysis**

Children's sociodemographic and DCC characteristics in the 3 study groups were compared by using  $\chi^2$  tests, Fisher-Snedecor distribution from analysis of variance, and Welch *t* and Brown-Forsythe tests with 95% confidence intervals (CIs).

A multilevel Poisson regression model was applied to fit the number of RI events. Two levels were considered: children grouped into classrooms by age (0-1, 1-2,and 2–3 years) and DCC random effect level. In addition, infant random effect was included to take into account overdispersion in the Poisson mode.<sup>38–40</sup> We used observation-level random effects to model overdispersion in counting data for ecology and evolution. First, an unadjusted covariate model was applied to check the IRR of RI for each covariate of the study applied. Thus, adjustment for infant group, sex, and age when starting at a DCC was calculated. Finally, a full multivariate model with all variables under study was applied, and model reduction was conducted by using a backward procedure. Covariates were removed if no significant association with the parameter was

detected, if no interaction effect with a group was found, and when no change in the rest of the parameters was observed after removal (considering a 30% change as a possible confounder).<sup>41,42</sup> Goodness of fit of the model in each step was performed by checking residuals and the Bayesian information criteria. The adjusted IRR from the multivariate model is provided along with its 95% CI. The number of times antibiotics were prescribed was analyzed by using a predicted Poisson regression mixed model with subject random variation to account for overdispersion and DCC as well as classrooms random effects. The percentage of days absent from a DCC was compared with Poisson exact test results.

The statistical tests were performed at a 5% significance level by using SPSS version 19.0 (SPSS, Inc, Chicago, IL) and R version 3.1.3 (R Foundation, Vienna, Austria).

This study was reviewed and approved by the ethical review board for clinical trials at Hospital Torrecardenas (Almeria, Spain), and permission to review medical records was also granted.

# RESULTS

Fifty-two DCCs were initially contacted, of which 25 were randomized with 1176 children and 960 (81.63%) had parental participation authorization. Approximately 95% of the children's parents returned the completed questionnaire and data collection notebook on RIs; the final simple size was 911 children. Approximately 5% of the children did not complete the study; this did not affect the results as confirmed by using nonreported analyses. One child in the HSG showed a worsening of localized atopic dermatitis due to hand sanitizer gel use and was excluded during the follow-up (Fig 1).

Table 2 includes the 3 groups' sociodemographic and DCCs characteristics. Although significant differences between groups were found, among others, the SWG families have a higher proportion with immigrant status, and the parents had lower social class and educational levels. All DCCs met the requirements regarding facilities, material conditions, square meter per child, number of courses per DCC, and number of children per staff member stipulated by the government.<sup>43</sup> The potential biases were controlled by including these variables in the multilevel analysis, adjusting the incidence rates of RIs and antibiotic prescriptions by them (Table 1).

During the study period, 5211 RI episodes occurred (1907 CG, 1633 SWG, and 1671 HSG); diagnoses were confirmed by a doctor in 87% of episodes. Antibiotics were prescribed in 39.4% of RIs, 28.20% of nonspecific upper respiratory tract infections, 83.20% of otitis media cases, 87.20% of pharyngotonsillitis cases, 87.50% of lower respiratory tract infections, 16.6% of acute bronchitis cases, and 25% of bronchiolitis cases.

Figure 2 includes the mean RI episodes and antibiotic prescriptions per child and per month. The significant differences between the HSG versus the SWG and CG were found when children had more RI episodes, in winter and late spring.

Pupils missed 5186 DCC days because of RIs (1891 days for the CG versus 1627 for the SWG versus 1668 for the HSG). The total possible days of attendance were 44 998 (CG), 41 374 (SWG), and 51 189 (HSG). The percentage of RI absenteeism days were significantly lower in the HSG (3.25%; 95% CI: 3.1%–3.4%) compared with the SWG (3.9%; 95% CI: 3.71%–4.09%; P < .001) and CG (4.2%; 95% CI: 4.01%–4.39%; P < .001) and in the SWG versus CG (P = .026).

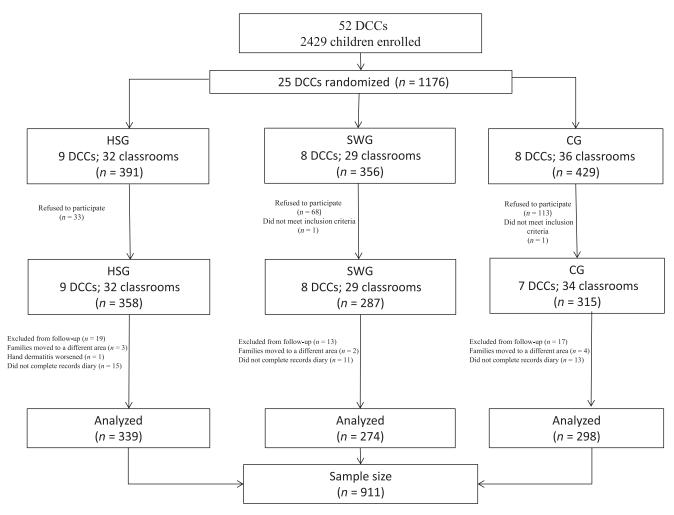


FIGURE 1 Participant flow diagram.

The adjusted final multivariate model (Table 3) reveals that the adjusted RI episodes rate was significantly lower in the HSG (IRR: 0.77; 95% CI: 0.68– 0.88) than the CG; for the SWG, the IRR was  $\sim$ 21% higher than for the HSG. The adjusted final multivariate model (Table 4) revealed that the IRR for antibiotic prescriptions was significantly lower in the HSG (IRR: 0.69; 95% CI: 0.57–0.84) than the CG; for the SWG, the IRR was  $\sim$ 30% higher than for the HSG.

#### **DISCUSSION**

With this trial, we support the importance of hand hygiene programs for DCCs and families to reduce RIs and antibiotic prescriptions in children attending DCCs, with relevant repercussions seen in public health and the prevention of bacterial resistance, as other authors state.<sup>44–47</sup>

To our knowledge, this study is the first in which researchers measure the individual impacts of hand-washing with soap and hand sanitizer use as well as compare with a CG in DCCs. We found a 21% and 31% higher risk of RI episodes and antibiotic prescriptions, respectively, when belonging to the SWG instead of the HSG. Lennell et al<sup>31</sup> separately measure both interventions and found a 12% reduction of absenteeism due to infections in the HSG compared with using soap, probably because of the virucidal effect and greater adherence to the hand hygiene program with hand sanitizer than to the soap-andwater program because educational measures were the same in both groups in our study.

The 23% reduction in RI episodes in the HSG compared with the CG coincides with estimates from previous randomized studies,<sup>27,29,48</sup> meta-analyses, and systematic reviews<sup>49,50</sup> in diverse populations, revealing that hand hygiene programs decrease RIs between 9% and 21%, especially in the youngest children.<sup>51</sup> Researchers of intervention cohorts and other randomized studies<sup>52,53</sup> didn't observe a significant reduction in RI episodes in children attending

TABLE 2 Sociodemographic and DCC Characteristics in Experimental Groups and CGs

	CG ( $N = 298$ )	SWG ( $N = 274$ )	HSG ( $N = 339$ )	Р
Age at the beginning of the study, mean (SD)	20.67 (7.94)	21.10 (7.73)	21.59 (8.21)	.13ª
Age at the start of DCC attendance (SD)	11.32 (5.56)	11.91 (5.79)	12.63 (6.31)	.02 <sup>b</sup>
Hours per wk in a DCC, mean (SD)	27.6 (7)	29.6 (7.7)	28.2 (7.1)	.05 <sup>b</sup>
Duration of breastfeeding in mo, mean (SD)	5.85 (6.45)	6.38 (6.14)	5.83 (6.28)	.81ª
Female sex, n (%)	126 (42.28)	146 (53.28)	149 (43.95)	.018 <sup>c</sup>
Immigrant status, <i>n</i> (%)	20 (6.71)	43 (15.69)	20 (5.90)	.001°
Recurrent wheezing, n (%)	47 (15.77)	58 (21.17)	50 (14.75)	.086 <sup>c</sup>
13-valent pneumococcal conjugate vaccine, <i>n</i> (%)	263 (88.26)	191 (69.71)	294 (86.73)	<.001°
Family size, people, <i>n</i> (%)				.050 <sup>c</sup>
≤3	124 (41.61)	108 (39.42)	123 (36.28)	
>3- <u>≤</u> 5	161 (54.03)	137 (50)	187 (55.16)	
>5	13 (4.36)	29 (10.58)	29 (8.55)	
Siblings at home, n (%)				.017°
0	135 (45.30)	117 (42.70)	128 (37.76)	
1–2	158 (53.02)	139 (50.73)	191 (56.34)	
<u>≥</u> 3	5 (1.68)	18 (6.57)	20 (5.90)	
Father's age, mean (SD)	35.4 (6.6)	34.3 (6.7)	35.5 (5.7)	.06 <sup>a</sup>
Mother's age, mean (SD)	33.1 (5.7)	31.2 (5.7)	33.3 (5.2)	.21ª
Father's educational level, n (%)				<.001°
Low	91 (30.06)	108 (40.00)	91 (27.16)	
Middle	162 (52.29)	114 (42.22)	151 (45.07)	
High	40 (13.65)	48 (17.78)	93 (27.76)	
Mother's educational level, n (%)				.002 <sup>c</sup>
Low	69 (23.15)	87 (31.75)	78 (23.01)	
Middle	146 (48.99)	117 (42.70)	134 (39.53)	
High	83 (27.85)	70 (25.55)	127 (37.46)	
Father's profession, <sup>d</sup> n (%)	= / (0= 00)	50 (0 ( 05)		.002 <sup>c</sup>
I–III or VI	74 (25.26)	59 (21.85)	107 (31.94)	
IV-V	53 (18.09)	43 (15.93)	71 (21.19)	
VII or X	95 (32.42)	76 (28.15)	74 (22.09)	
VIII or IX	71 (24.23)	92 (34.07)	83 (24.78)	0010
Mother's profession, <sup>d</sup> <i>n</i> (%)	07 (71 01)	70 (00 00)	170 (40 10)	.001 <sup>c</sup>
I—III or VI IV—V	93 (31.21) 47 (15.77)	72 (26.28)	136 (40.12)	
VII or X	43 (14.43)	34 (12.41) 29 (10.58)	40 (11.80) 44 (12.98)	
VIII or IX	115 (38.59)	139 (50.73)	119 (35.10)	
Type of dwelling, <i>n</i> (%)	110 (00.00)	103 (00.70)	113 (33.10)	<.001°
Flat	226 (75.84)	161 (58.76)	204 (60.18)	<.001
House	33 (11.07)	68 (24.82)	59 (17.40)	
Semidetached house	35 (11.74)	44 (16.06)	69 (20.35)	
Other	4 (1.34)	1 (0.36)	7 (2.06)	
Shared bedroom, <i>n</i> (%)	206 (69.13)	190 (69.34)	214 (63.13)	.166°
Smoking at home, <i>n</i> (%)	63 (21.14)	63 (22.99)	53 (15.63)	.054°
DCC characteristics	N = 7	N = 8	N = 9	
No. classrooms per DCC, mean	4.9 (3.2)	3.6 (1.9)	3.6 (1.4)	.035 <sup>b</sup>
(SD)	(0.2)	(	()	
Children per square meter of space in classroom, mean (SD)	3.7 (1.7)	3.1 (1.1)	2.8 (1.4)	.452 <sup>a</sup>
No. children per staff, mean (SD)	6.6 (1.8)	7.2 (2.1)	7.7 (3.1)	.029ª

<sup>a</sup> Fisher-Snedecor.

<sup>b</sup> Welch *t* test.

<sup>d</sup> Professions are according to the European Socioeconomic Classification: I, managers and professionals of a high level; II, managers and professionals of a low level; III, white-collar employees of a high level; IV, small employers and selfemployed nonagricultural workers; V, self-employed agricultural workers; VI, supervisors and technicians of a lower rank; VII, workers of services and commerce of a lower rank; VIII, skilled manual workers; IX, unskilled workers; and X, excluded labor market and long-term unemployed. DCCs related to hand hygiene interventions. Our results may have differed for several reasons. We also collected data on RI episodes with and without DCC absenteeism; 87% had medical diagnoses. Pupils washed their hands more frequently than in previous studies.<sup>29,31,52</sup> Families and/or DCC staff used 1660 L of hand sanitizer during the study period; with an expected use of 1 to 2 mL of hand sanitizer per disinfection, we estimated that each child used hand sanitizer between 6 and 8 times per day, a point that is supported by Pandejpong et al.<sup>54</sup> To our knowledge, this is the first multicomponent intervention in which researchers provide educational measures and hand hygiene products to DCC staff, children, and parents. Previous studies reveal that the individual measures used in our study are effective. Zomer et al<sup>55</sup> showed that DCC staff intervention increased caregiver compliance to a hand hygiene program. Moreover, the use of a hand sanitizer at home can greatly reduce the exposure of family members to viruses in the household.<sup>18</sup> The children whose parents attended a health education session about RIs had fewer RIs in comparison with the CG.48 Researchers in a systematic review<sup>56</sup> concluded that the effectiveness of hand hygiene interventions varies depending on the setting, the context, and compliance. Interventions to improve hand hygiene in educational settings may reduce RI incidence among younger children.<sup>51,57</sup>

Approximately 40% of those with RIs were prescribed antibiotics. The 30% reduction of antibiotic prescriptions for RIs in the HSG compared with the CG in our study correspond with previous reports<sup>27,48</sup> of 18% to 24%. Previous researchers<sup>44,58,59</sup> found that interventions directed toward parents and/or clinicians can reduce rates of antibiotic prescriptions for RIs in children.

 $<sup>^{\</sup>text{c}}\,\chi^2$  test.

TABLE 3 Factors Associated With Episodes Due to RI in Children at DCCs: Multivariate Final Adjustment

Variables	IRR	95% CI
Groups		
SWG versus CG	0.94	0.82-1.08
HSG versus CG	0.77*	0.68-0.88
SWG versus HSG	1.21*	1.06-1.39
Age at the start of DCC attendance	1.01	1.01-1.02
Duration of breastfeeding, mo	0.99**	0.99-1.00
Mother's age	0.99*	0.98-0.99
Female sex	0.98	0.91-1.05
Recurrent wheezing	1.37*	1.25-1.50
No 13-valent pneumococcal conjugate vaccine	0.90**	0.81-1.00
No smoking at home	0.88*	0.80-0.96
Siblings at home (reference category $= 0$ )		
1–2	0.94	0.87-1.01
3–4	0.81*	0.66-0.98

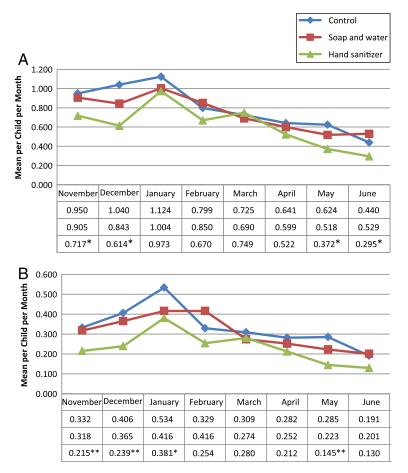
<sup>\*</sup> P < .05 \*\* P < .1

The pupils in the HSG had fewer DCC absence days due to RIs than those in the CG. These results coincide with those from previous studies<sup>31,48</sup>; this

can reduce the use of medical resources and parent work absenteeism.

#### Families from different

socioeconomic levels and countries



#### **FIGURE 2**

RI episodes and antibiotic prescriptions due to RI means in the CG, SWG, and HSG per child per month at DCCs in Almeria (Spain), November 2013 to June 2014. A, Mean RI episodes per child per month. \* P < .05 for the HSG versus CG; P < .05 for the HSG versus SWG. B, Mean antibiotic prescriptions due to RIs per child per month. \* P < .05 for the HSG versus CG. \*\* P < .05 for the HSG versus CG.

of origin as well as children who used public and private health services took part in our study, so our findings can be representative of the RI episodes in children at DCCs in our area. These could be generalized in similar DCCs in Spain because most of the RI episodes were diagnosed by a doctor. As other authors indicate,<sup>60,61</sup> the risk and protective factors of infections in children at DCCs are difficult to identify, and their importance may vary between societies and countries. Therefore, these results may not be generalizable to DCCs where sociodemographic factors or infrastructure are substantially different.

Future studies are needed to assess which factors of multicomponent interventions may be most effective in reducing infections in children attending DCCs.

Although 87% of those with RI episodes had medical diagnoses, microbiological confirmation wasn't conducted. Approximately 90% of children <3 years old in Almeria attend state and statesubsidized, privately run DCCs, but we did not have access to exclusively private centers. The number of parents who did not authorize the study was greater in the CG; however, this does not affect the sample size. The absence of masking both participants and researchers was not feasible given the characteristics of this study, so the statistical analyses were masked until completion. We did not monitor compliance to the programs through continuous observation of hand hygiene behaviors in the IGs as is done in most DCC intervention studies<sup>30,31,52</sup>; however, previous researchers<sup>55,62,63</sup> found that individuals might change their behavior when they know they

**TABLE 4** Factors Associated With Antibiotic Prescriptions Due to RIs in Children at DCCs: Multivariate

 Final Adjustment

Variables	IRR	95% CI
Groups		
SWG versus CG	0.91	0.75-1.10
HSG versus CG	0.69*	0.57-0.84
SWG versus HSG	1.31*	1.08-1.59
Age at the start of DCC attendance	1.01*	1.00-1.02
Duration of breastfeeding	0.99*	0.98-0.99
Female sex	0.88*	0.79-0.99
Recurrent wheezing	1.17*	1.02-1.35
Shared bedroom	1.14*	1.02-1.29

\* *P* < .05

are being observed. Nevertheless, we monitored hand hygiene material consumption in the IGs. Only the IGs received educational intervention, making the relative contributions of education versus hand hygiene in the reduction of RI episodes unattainable in this study.

#### **CONCLUSIONS**

Hand hygiene programs that include hand sanitizer and educational measures for DCC staff, children, and parents reduce absent days, RIs, and antibiotic prescriptions for these infections in children at DCCs.

#### **ACKNOWLEDGMENTS**

This work was supported by a grant from the Andalusia Department of Health. We thank the managers of and caregivers at the DCCs, parents at all participating child care centers, and collaborators from the Department of Health and Education of Almeria.

# **ABBREVIATIONS**

CG: control group CI: confidence interval DCC: day care center HSG: hand sanitizer group IG: intervention group IRR: incidence rate ratio RI: respiratory infection SWG: soap-and-water group

revised the manuscript; Dr Yui-Hifume and Mr Torres-Alegre acquired data, supervised data collection, interpreted the data, and revised the manuscript; Dr Muñoz-Vico participated in the conception and design of the study, interpreted the data, and revised the manuscript; Dr Jimenez-Noguera and Ms Martinez-Martinez acquired and interpreted the data and revised the manuscript; Dr Strizzi conducted the initial analyses, interpreted data, and drafted and critically reviewed the manuscript; Dr Garcia-Fernandez conducted the statistical analyses, interpreted the data, and revised and revised the design of the study and the manuscript; Dr Seijas-Vazquez and Fernandez-Campos participated in the conception and design of the study and drafted the manuscript; Dr Gimenez-Sanchez participated in the conception and design of the study, critically reviewed the manuscript, and provided expertise on infectious diseases; and all authors approved the final manuscript as submitted and agree to be accountable for all aspects of the work.

This trial has been registered at www.clinicaltrials.gov (identifier NCT03294772).

DOI: https://doi.org/10.1542/peds.2018-1245

Accepted for publication Jul 31, 2018

Address correspondence to Ernestina Azor-Martinez, MD, PhD, Distrito Sanitario Atención Primaria Almería, Calle Haza de Acosta S/N, 04009 Almería, Spain. E-mail: eazorm@yahoo.es

PEDIATRICS (ISSN Numbers: Print, 0031-4005; Online, 1098-4275).

Copyright © 2018 by the American Academy of Pediatrics

FINANCIAL DISCLOSURE: The authors have indicated they have no financial relationships relevant to this article to disclose.

FUNDING: Supported by a grant (PI-0782/2012) from the Andalusia Department of Health.

POTENTIAL CONFLICT OF INTEREST: The authors have indicated they have no potential conflicts of interest to disclose.

#### REFERENCES

- Chen Y, Williams E, Kirk M. Risk factors for acute respiratory infection in the Australian community. *PLoS One.* 2014;9(7):e101440
- 2. Chonmaitree T, Alvarez-Fernandez P, Jennings K, et al. Symptomatic and asymptomatic respiratory viral infections in the first year of life: association with acute otitis media development. *Clin Infect Dis.* 2015;60(1):1–9
- 3. Hersh AL, Shapiro DJ, Pavia AT, Shah SS. Antibiotic prescribing

in ambulatory pediatrics in the United States. *Pediatrics*. 2011;128(6):1053–1061

- Fleming-Dutra KE, Hersh AL, Shapiro DJ, et al. Prevalence of inappropriate antibiotic prescriptions among US ambulatory care visits, 2010-2011. JAMA. 2016;315(17):1864–1873
- Brady MT. Infectious disease in pediatric out-of-home child care. *Am J Infect Control.* 2005;33(5): 276–285
- Zutavern A, Rzehak P, Brockow I, et al; LISA Study Group. Day care in relation to respiratory-tract and gastrointestinal infections in a German birth cohort study. *Acta Paediatr*. 2007;96(10):1494–1499
- Côté SM, Petitclerc A, Raynault MF, et al. Short- and long-term risk of infections as a function of group child care attendance: an 8-year populationbased study. Arch Pediatr Adolesc Med. 2010;164(12):1132–1137

- de Hoog ML, Venekamp RP, van der Ent CK, et al. Impact of early daycare on healthcare resource use related to upper respiratory tract infections during childhood: prospective WHISTLER cohort study. *BMC Med.* 2014;12:107
- Del Castillo-Aguas G, Gallego-Iborra A, Gutiérrez-Olid M, Pérez-González O, Moreno-Muñoz G, Ledesma-Albarrán JM. Infectious morbidity and resource use in children under 2 years old at childcare centres. J Paediatr Child Health. 2017;53(2):116–122
- Thrane N, Olesen C, Md JT, Søndergaard C, Schønheyder HC, Sørensen HT. Influence of day care attendance on the use of systemic antibiotics in 0- to 2-year-old children. *Pediatrics*. 2001;107(5). Available at: www.pediatrics.org/cgi/content/full/ 107/5/e76
- Hedin K, Andre M, Håkansson A, Mölstad S, Rodhe N, Petersson C. Physician consultation and antibiotic prescription in Swedish infants: population-based comparison of group daycare and home care. Acta Paediatr. 2007;96(7):1059–1063
- Youngster I, Avorn J, Belleudi V, et al. Antibiotic use in children - a crossnational analysis of 6 countries. J Pediatr. 2017;182:239–244.e1
- Boyce JM, Pittet D; Healthcare Infection Control Practices Advisory Committee; HICPAC/SHEA/APIC/IDSA Hand Hygiene Task Force. Guideline for hand hygiene in health-care settings. Recommendations of the Healthcare Infection Control Practices Advisory Committee and the HICPAC/SHEA/ APIC/IDSA Hand Hygiene Task Force. Society for Healthcare Epidemiology of America/Association for Professionals in Infection Control/Infectious Diseases Society of America. MMWR Recomm Rep. 2002;51 (RR–16):1–45, quiz CE1–CE4
- World Health Organization. WHO guidelines on hand hygiene in health care. 2009. Available at: http://apps. who.int/iris/bitstream/handle/ 10665/44102/9789241597906\_eng. pdf;jsessionid=7B49BB6C8F91C1E19 4FA2A843F05871F?sequence=1. Accessed November 14, 2013
- 15. Fendler EJ, Ali Y, Hammond BS, Lyons MK, Kelley MB, Vowell NA. The impact of

alcohol hand sanitizer use on infection rates in an extended care facility. *Am J Infect Control*. 2002;30(4):226–233

- Sattar SA, Abebe M, Bueti AJ, Jampani H, Newman J, Hua S. Activity of an alcohol-based hand gel against human adeno-, rhino-, and rotaviruses using the fingerpad method. *Infect Control Hosp Epidemiol.* 2000;21(8):516–519
- Kampf G, Kramer A. Epidemiologic background of hand hygiene and evaluation of the most important agents for scrubs and rubs. *Clin Microbiol Rev.* 2004;17(4):863–893
- Tamimi AH, Carlino S, Edmonds S, Gerba CP. Impact of an alcohol-based hand sanitizer intervention on the spread of viruses in homes. *Food Environ Virol.* 2014;6(2):140–144
- White CG, Shinder FS, Shinder AL, Dyer DL. Reduction of illness absenteeism in elementary schools using an alcoholfree instant hand sanitizer. *J Sch Nurs*. 2001;17(5):258–265
- Bowen A, Ma H, Ou J, et al. A clusterrandomized controlled trial evaluating the effect of a handwashingpromotion program in Chinese primary schools. *Am J Trop Med Hyg.* 2007;76(6):1166–1173
- Sandora TJ, Shih MC, Goldmann DA. Reducing absenteeism from gastrointestinal and respiratory illness in elementary school students: a randomized, controlled trial of an infection-control intervention. *Pediatrics*. 2008;121(6). Available at: www.pediatrics.org/cgi/content/full/ 121/6/e1555
- Agolory SG, Barbot O, Averhoff F, et al. Implementation of non-pharmaceutical interventions by New York City public schools to prevent 2009 influenza A. *PLoS One.* 2013;8(1):e50916
- Azor-Martínez E, Gonzalez-Jimenez Y, Seijas-Vazquez ML, et al. The impact of common infections on school absenteeism during an academic year. *Am J Infect Control.* 2014;42(6):632–637
- 24. Azor-Martinez E, Cobos-Carrascosa E, Seijas-Vazquez ML, et al. Hand hygiene program decreases school absenteeism due to upper respiratory infections. *J Sch Health*. 2016;86(12):873–881

- Lee GM, Salomon JA, Friedman JF, et al. Illness transmission in the home: a possible role for alcoholbased hand gels. *Pediatrics*. 2005; 115(4):852–860
- 26. Sandora TJ, Taveras EM, Shih MC, et al. A randomized, controlled trial of a multifaceted intervention including alcohol-based hand sanitizer and handhygiene education to reduce illness transmission in the home. *Pediatrics*. 2005;116(3):587–594
- 27. Uhari M, Möttönen M. An open randomized controlled trial of infection prevention in child daycare centers. *Pediatr Infect Dis J.* 1999;18(8):672–677
- Carabin H, Gyorkos TW, Soto JC, Joseph L, Payment P, Collet JP. Effectiveness of a training program in reducing infections in toddlers attending day care centers. Epidemiology. 1999;10(3):219–227
- 29. Roberts L, Smith W, Jorm L, Patel M, Douglas RM, McGilchrist C. Effect of infection control measures on the frequency of upper respiratory infection in child care: a randomized, controlled trial. *Pediatrics*. 2000; 105(4, pt 1):738–742
- Pönkä A, Poussa T, Laosmaa M. The effect of enhanced hygiene practices on absences due to infectious diseases among children in day care centers in Helsinki. *Infection.* 2004;32(1):2–7
- 31. Lennell A, Kühlmann-Berenzon S, Geli P, et al; Study Group. Alcoholbased hand-disinfection reduced children's absence from Swedish day care centers. *Acta Paediatr*. 2008;97(12):1672–1680
- Rosen L, Manor O, Engelhard D, et al. Can a handwashing intervention make a difference? Results from a randomized controlled trial in Jerusalem preschools. *Prev Med.* 2006;42(1):27–32
- 33. Zomer TP, Erasmus V, van Empelen P, et al. Sociocognitive determinants of observed and self-reported compliance to hand hygiene guidelines in child day care centers. *Am J Infect Control.* 2013;41(10):862–867
- Segura del Pozo J. Social classes in 21st century Spain (I): The European Socioeconomic Classification (ESeC).

Available at: www.madrimasd.org/ blogs/salud\_publica/2012/02/12/ 133091. Accessed November 14, 2013

- Manatunga AK, Hudgens MG, Chen S. Sample size estimation in cluster randomized studies with varying cluster size. *Biom J.* 2001;43(1):75–86
- WHO Collaborating Centre for Drug Statistic Methodology. ATC index with DDDs 2014. Available at: www.whocc. no/atc\_ddd\_index. Accessed December 5, 2014
- 37. Centers for Disease Control and Prevention. International Classification of Diseases, Ninth Revision, Clinical Modification [ICD-9-CM]. Available at: https://www.cdc.gov/nchs/icd/icd9cm. htm. Accessed December 5, 2014
- Gelman A, Hill J. Data analysis using regression and multilevel/hierarchical models. *JEM*. 2007;45(1):94–97
- Gardiner JC, Luo Z, Roman LA. Fixed effects, random effects and GEE: what are the differences? *Stat Med.* 2009;28(2):221–239
- Harrison XA. Using observation-level random effects to model overdispersion in count data in ecology and evolution. *PeerJ.* 2014;2:e616
- Miettinen OS, Cook EF. Confounding: essence and detection. *Am J Epidemiol*. 1981;114(4):593–603
- Hak E, Verheij TJ, Grobbee DE, Nichol KL, Hoes AW. Confounding by indication in non-experimental evaluation of vaccine effectiveness: the example of prevention of influenza complications. *J Epidemiol Community Health*. 2002;56(12):951–955
- 43. BOJA. Decreto 149/2009 de 12 de mayo, por el que se regulan los centros que imparten el primer ciclo de educación infantil. Available at: www. juntadeandalucia.es/boja/2009/92/ boletin.92.pdf. Accessed June 15, 2012
- 44. Francis NA, Butler CC, Hood K, Simpson SA, Wood F, Nuttall J. Effect of using an interactive booklet about childhood respiratory tract infections in primary care consultations on reconsulting and antibiotic prescribing: a cluster randomised controlled trial. *BMJ*. 2009;339(7717):b2885
- 45. Costelloe C, Metcalfe C, Lovering A, Mant D, Hay AD. Effect of antibiotic

prescribing in primary care on antimicrobial resistance in individual patients: systematic review and metaanalysis. *BMJ*. 2010;340:c2096

- 46. Chavanet P, Atale A, Mahy S, et al. Nasopharyngeal carriage, antibiotic susceptibility and serotyping of Streptococcus pneumoniae and Haemophilus influenzae in children attending day care centers [in French]. *Med Mal Infect*. 2011;41(6):307–317
- Bryce A, Hay AD, Lane IF, Thornton HV, Wootton M, Costelloe C. Global prevalence of antibiotic resistance in paediatric urinary tract infections caused by Escherichia coli and association with routine use of antibiotics in primary care: systematic review and meta-analysis. *BMJ.* 2016;352:i939
- 48. Alexandrino AS, Santos R, Melo C, Bastos JM. Impact of caregivers' education regarding respiratory infections on the health status of day-care children: a randomized trial. *Fam Pract*. 2016;33(5):476–481
- Rabie T, Curtis V. Handwashing and risk of respiratory infections: a quantitative systematic review. *Trop Med Int Health*. 2006;11(3):258–267
- Aiello AE, Coulborn RM, Perez V, Larson EL. Effect of hand hygiene on infectious disease risk in the community setting: a meta-analysis. *Am J Public Health*. 2008;98(8):1372–1381
- 51. Jefferson T, Del Mar CB, Dooley L, et al. Physical interventions to interrupt or reduce the spread of respiratory viruses. *Cochrane Database Syst Rev.* 2011;(7):CD006207
- Gudnason T, Hrafnkelsson B, Laxdal B, Kristinsson KG. Does hygiene intervention at day care centres reduce infectious illnesses in children? An intervention cohort study. *Scand J Infect Dis.* 2013;45(5):397–403
- 53. Zomer TP, Erasmus V, Looman CW, et al. A hand hygiene intervention to reduce infections in child daycare: a randomized controlled trial. *Epidemiol Infect*. 2015;143(12):2494–2502
- 54. Pandejpong D, Danchaivijitr S, Vanprapa N, Pandejpong T, Cook EF. Appropriate time-interval application of alcohol hand gel on reducing influenza-like illness among

preschool children: a randomized, controlled trial. *Am J Infect Control.* 2012;40(6):507–511

- Zomer TP, Erasmus V, Looman CW, et al. Improving hand hygiene compliance in child daycare centres: a randomized controlled trial. *Epidemiol Infect*. 2016;144(12):2552–2560
- 56. Warren-Gash C, Fragaszy E, Hayward AC. Hand hygiene to reduce community transmission of influenza and acute respiratory tract infection: a systematic review. *Influenza Other Respir Viruses*. 2013;7(5):738–749
- 57. Willmott M, Nicholson A, Busse H, MacArthur GJ, Brookes S, Campbell R. Effectiveness of hand hygiene interventions in reducing illness absence among children in educational settings: a systematic review and meta-analysis. *Arch Dis Child.* 2016;101(1):42–50
- 58. Andrews T, Thompson M, Buckley DI, et al. Interventions to influence consulting and antibiotic use for acute respiratory tract infections in children: a systematic review and meta-analysis. *PLoS One.* 2012;7(1):e30334
- 59. Vodicka TA, Thompson M, Lucas P, et al; TARGET Programme Team. Reducing antibiotic prescribing for children with respiratory tract infections in primary care: a systematic review. *Br J Gen Pract.* 2013;63(612):e445–e454
- Hatakka K, Piirainen L, Pohjavuori S, Poussa T, Savilahti E, Korpela R. Factors associated with acute respiratory illness in day care children. *Scand J Infect Dis.* 2010;42(9):704–711
- Gudnason T, Hrafnkelsson B, Laxdal B, Kristinsson KG. Can risk factors for infectious illnesses in children at day care centres be identified? *Scand J Infect Dis.* 2012;44(2):149–156
- Sax H, Allegranzi B, Chraïti MN, Boyce J, Larson E, Pittet D. The World Health Organization hand hygiene observation method. *Am J Infect Control.* 2009;37(10):827–834
- 63. van Beeck AH, Zomer TP, van Beeck EF, Richardus JH, Voeten HA, Erasmus V. Children's hand hygiene behaviour and available facilities: an observational study in Dutch day care centres. *Eur J Public Health*. 2016;26(2):297–300

# Effectiveness of a Hand Hygiene Program at Child Care Centers: A Cluster Randomized Trial

Ernestina Azor-Martinez, Romy Yui-Hifume, Francisco J. Muñoz-Vico, Esperanza Jimenez-Noguera, Jenna Marie Strizzi, Irene Martinez-Martinez, Llenalia Garcia-Fernandez, María L. Seijas-Vazquez, Pilar Torres-Alegre, Maria A. Fernández-Campos and Francisco Gimenez-Sanchez *Pediatrics* originally published online October 8, 2018;

Updated Information & Services	including high resolution figures, can be found at: http://pediatrics.aappublications.org/content/early/2018/10/04/peds.2 018-1245
References	This article cites 57 articles, 14 of which you can access for free at: http://pediatrics.aappublications.org/content/early/2018/10/04/peds.2 018-1245#BIBL
Subspecialty Collections	This article, along with others on similar topics, appears in the following collection(s): <b>Pulmonology</b> http://www.aappublications.org/cgi/collection/pulmonology_sub <b>Respiratory Tract</b> http://www.aappublications.org/cgi/collection/respiratory_tract_sub <b>Child Care</b> http://www.aappublications.org/cgi/collection/child_care_sub
Permissions & Licensing	Information about reproducing this article in parts (figures, tables) or in its entirety can be found online at: http://www.aappublications.org/site/misc/Permissions.xhtml
Reprints	Information about ordering reprints can be found online: http://www.aappublications.org/site/misc/reprints.xhtml



# PEDIATRACES®

# Effectiveness of a Hand Hygiene Program at Child Care Centers: A Cluster Randomized Trial

Ernestina Azor-Martinez, Romy Yui-Hifume, Francisco J. Muñoz-Vico, Esperanza Jimenez-Noguera, Jenna Marie Strizzi, Irene Martinez-Martinez, Llenalia Garcia-Fernandez, María L. Seijas-Vazquez, Pilar Torres-Alegre, Maria A. Fernández-Campos and Francisco Gimenez-Sanchez *Pediatrics* originally published online October 8, 2018;

The online version of this article, along with updated information and services, is located on the World Wide Web at: http://pediatrics.aappublications.org/content/early/2018/10/04/peds.2018-1245

Data Supplement at: http://pediatrics.aappublications.org/content/suppl/2018/10/05/peds.2018-1245.DCSupplemental

Pediatrics is the official journal of the American Academy of Pediatrics. A monthly publication, it has been published continuously since 1948. Pediatrics is owned, published, and trademarked by the American Academy of Pediatrics, 141 Northwest Point Boulevard, Elk Grove Village, Illinois, 60007. Copyright © 2018 by the American Academy of Pediatrics. All rights reserved. Print ISSN: 1073-0397.

