Adherence and Persistence to Single-Inhaler Versus Multiple-Inhaler Triple Therapy for Asthma Management

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# 1 Adherence and Persistence to Single-Inhaler Versus Multiple-Inhaler Triple Therapy

# 2 for Asthma Management

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- 29 CB Abbott is an employee of GlaxoSmithKline (GSK) and holds stocks/shares in GSK. CM Averell
- 30 was an employee of GSK at the time of the study and holds stocks/shares in GSK. WW Busse
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- 33 SD MacKnight, Y Jung, and MS Duh are employees of Analysis Group, Inc., a consulting company
- 34 that received research funds from GSK to conduct this study.

### 35 Abstract (250/250 words)

36 Background: Treatment guidelines recommend triple therapy for patients with asthma who remain 37 uncontrolled on inhaled corticosteroid/long-acting  $\beta_2$ -agonist therapy. Previously, triple therapy was 38 only available via multiple inhalers. Single-inhaler fluticasone furoate/umeclidinium/vilanterol 39 (FF/UMEC/VI) is approved as maintenance treatment for asthma; however, real-world information on 40 adherence and persistence is limited. 41 **Objective:** Compare adherence and persistence among adult patients with asthma receiving singleinhaler FF/UMEC/VI versus multiple-inhaler triple therapy (MITT) in the USA. 42 43 Methods: This retrospective cohort study used IQVIA PharMetrics Plus data to evaluate patients with asthma who initiated once-daily FF/UMEC/VI 100/62.5/25 mcg or MITT between September 18, 44 45 2017 and September 30, 2019. Inverse probability weighting and multivariable regression adjusted for differences in characteristics between FF/UMEC/VI and MITT cohorts. Adherence was assessed 46 using proportion of days covered (PDC) and proportion of patients achieving PDC ≥0.8 and PDC 47  $\geq$ 0.5. Non-persistence was identified as a >45-day gap between fills. 48 49 Results: Study included 1396 FF/UMEC/VI and 5115 MITT initiators. Three months post initiation, 50 FF/UMEC/VI users had significantly higher mean PDC versus MITT users (0.68 vs 0.59; P<0.001) and 31% more likely to be adherent (PDC  $\geq 0.8$ ; 40.6% vs 31.3%; adjusted risk ratio [95% confidence 51 52 interval {CI}: 1.31 [1.13–1.54]; P<0.001). Similar patterns were observed at 6 and 12 months post initiation. Additionally, FF/UMEC/VI users were 49% more likely to persist at 12 months than MITT 53 users (25.9% vs 15.1%, adjusted hazard ratio [95% CI]: 1.49 [1.39–1.60]; P<0.001). 54 55 Conclusions: Patients with asthma initiating triple therapy with FF/UMEC/VI had significantly better

adherence and persistence compared with MITT initiators.

# 57 **Highlights** (Each answer ≤35 words)

### 58 What is already known about this topic? (31/35 words)

- 59 MITT use among patients with asthma has been associated with low adherence and persistence rates.
- 60 However, real-world data on adherence among patients with asthma initiating once-daily single-
- 61 inhaler FF/UMEC/VI is not available.

# 62 What does this article add to our knowledge? (33/35 words)

- 63 Initiation of FF/UMEC/VI compared with initiation of MITT was associated with significantly higher
- 64 adherence and persistence. However, FF/UMEC/VI adherence and persistence rates reported here are
- still relatively low and decreased over 12 months.

# 66 How does this study impact current management guidelines? (34/35 words)

- 67 Our study shows single-inhaler triple therapy could improve patient adherence and persistence,
- highlighting an unmet need for improved patient education on the benefits of treatment and active

69 monitoring of triple-therapy adherence by healthcare professionals.

70 Key words (max. 10): Multiple-inhaler triple therapy, single-inhaler triple therapy, asthma

71 management, uncontrolled asthma, adherence, persistence, fluticasone furoate, umeclidinium,

vilanterol.

# 73 Abbreviations

aHR, adjusted hazard ratio; aMD, adjusted mean difference; aRR, adjusted risk ratio; CI, confidence

75 interval; COPD, chronic obstructive pulmonary disease; ED, emergency department; FDA, Food and

76 Drug Administration; FF, fluticasone furoate; GINA, Global Initiative for Asthma; HCP, healthcare

professional; HRU, healthcare resource utilization; ICD-10-CM, International Classification of

78 Diseases, Tenth Revision, Clinical Modification; ICS, inhaled corticosteroid; LABA, long-acting  $\beta_2$ -

- agonist; LAMA, long-acting muscarinic antagonist; MITT, multiple-inhaler triple therapy; NHLBI,
- 80 The National Institutes of Health National Heart, Lung, and Blood Institute; PDC, proportion of days

- 81 covered; Quan-CCI, Quan-Charlson Comorbidity Index; SABA, short-acting  $\beta_2$ -agonist; SAMA,
- 82 short-acting muscarinic antagonist; SD, standard deviation; SITT, single-inhaler triple therapy; Std.
- 83 diff., standardized difference; UMEC, umeclidinium; VI, vilanterol.

Journal Prevention

# 84 Introduction

85	Asthma is a heterogeneous chronic inflammatory respiratory disease defined by symptoms such as
86	wheeze, shortness of breath, chest tightness, cough, and airflow limitation.(1, 2) Poor asthma control
87	represents a significant burden to both patients and society as it is associated with poor quality of life
88	and increased exacerbations, healthcare costs, and mortality.(3-7) Prevalence rates are increasing
89	globally and, in 2015, over 358 million people worldwide were suffering from asthma and 400,000
90	died from this disease.(8) In the US, asthma affected an estimated 25 million people, with
91	approximately 3500 deaths due to asthma according to 2019 data.(9)
92	The National Institutes of Health National Heart, Lung, and Blood Institute (NHLBI) 2020 asthma
93	guideline update and the Global Initiative for Asthma (GINA) 2021 report highlight the importance of
94	medication adherence in asthma management and control. $(1, 2)$ Medication adherence tends to be
95	suboptimal in the real world, and lower adherence has been shown to be associated with increased
96	asthma exacerbation risk, rescue medication use, healthcare resource utilization (HRU) and costs.(10-
97	13) However, conflicting data have been reported which suggest patients with higher adherence to
98	treatment may actually experience more exacerbations, worse asthma control, and have a higher
99	probability of their treatment being stepped up.(14, 15) One potential explanation for this conflicting
100	finding is reverse causality; patients with more severe symptoms may maximize their inhaled
101	controller use and thereby meet requirements for step-up therapy more quickly (therefore
102	characterized with exacerbations or having poor asthma control).
103	The NHLBI 2020 asthma guidelines and GINA report recommend adding long-acting muscarinic
104	antagonists (LAMA) as an additional controller for patients with uncontrolled asthma on at least
105	medium dose inhaled corticosteroid/long-acting $\beta_2$ -agonist (ICS/LABA) therapy.(1, 2) The addition of
106	a LAMA to ICS/LABA maintenance therapy has been shown to improve lung function and symptoms
107	and reduce exacerbation rates in patients with uncontrolled asthma; furthermore, addition of LAMA is

108 likely to incur substantially lower costs compared with escalating to biologic therapy.(16-20)

109 Until recently, the addition of a LAMA to ICS/LABA therapy (ie, triple therapy) for asthma 110 maintenance was only available in the form of multiple-inhaler triple therapy (MITT), usually with different devices or differing dosing regimens.(2) Real-world observational studies in the US and 111 112 Japan reported low adherence to, and persistence with MITT among patients with asthma requiring 113 triple therapy.(21, 22) The US study also reported a substantial disease burden (high HRU and 114 exacerbation rates) associated with MITT.(22) A fixed-dose combination of fluticasone furoate (FF), umeclidinium (UMEC), and vilanterol (VI) 115 (FF/UMEC/VI 100/62.5/25 mcg), administered once daily via a single inhaler (ELLIPTA dry-powder 116 117 inhaler),(23) was approved by the Food and Drug Administration (FDA) for chronic obstructive 118 pulmonary disease (COPD) on September 18, 2017 and September 9, 2020 for adults with 119 asthma.(23, 24) FF/UMEC/VI is the first single-inhaler triple therapy (SITT) approved by the FDA 120 for the management of both asthma and COPD, and is the only SITT available in the US that is 121 administered once daily.(24) SITT introduces a new treatment paradigm for the management of adult 122 patients with asthma who remain symptomatic on dual therapy.(25) However, real-world information on adherence and persistence among patients with asthma initiating SITT is currently limited. 123 124 This retrospective cohort study assessed adherence and persistence to once-daily single-inhaler 125 FF/UMEC/VI (100/62.5/25 mcg), relative to MITT among patients with asthma in the US.

### 126 Methods

### 127 Data source

128 This study used data from the IQVIA PharMetrics Plus database (spanning the period from September 129 18, 2016 to December 31, 2019), which contains fully adjudicated medical and pharmacy claims data 130 for approximately 40 million patients in any given recent year across all 50 US states, with an average 131 length of health plan enrollment of 36 months. Commercial insurance is the most frequent plan type captured (the database is generally representative of the <65 years of age, commercially insured 132 population in the US), but other types can also be found, including Medicare, and self-insured 133 134 employer groups (as managed by health plan). The database contains information on patient demographics, plan enrollment, inpatient and outpatient medical claims, and outpatient pharmacy 135 claims. Data are de-identified and compliant with the Health Insurance Portability and Accountability 136 137 Act.

### 138 Study design

139 This was a retrospective, weighted cohort study of patients with asthma initiating once-daily single-140 inhaler FF/UMEC/VI (100/62.5/25 mcg) or MITT (once or twice daily) during the patient 141 identification period from September 18, 2017 to September 30, 2019. The index date was defined as the date of the first dispensing of FF/UMEC/VI or MITT (Figure 1). MITT users were identified 142 based on an overlap of  $\geq 1$  day of supply of all three triple-therapy components (ICS, LABA, and 143 LAMA), which could be via three separate inhalers (ICS + LAMA + LABA) or two inhalers 144 (ICS/LABA + LAMA or LAMA/LABA + ICS); this algorithm was based on previous studies.(26-28) 145 146 The baseline period was defined as the 12 months prior to the index date and was used to assess 147 patient demographics and clinical characteristics. An intent-to-treat design was used, where adherence 148 and persistence to triple therapy were evaluated during the follow-up period, which spanned from the 149 index date until 12 months after the index date, end of eligibility, or end of data availability 150 (December 31, 2019), whichever occurred first (Figure 1). This study design did not take medication 151 switch from MITT to SITT (or vice versa) during the follow-up period into account.

The protocol for this retrospective study was pre-registered with a public registry (GSK study 208189,
https://www.gsk-studyregister.com/en/).

### 154 Study population

155 Patients included in this study were aged  $\geq 18$  years at the index date and had  $\geq 1$  diagnosis of asthma

156 (International Classification of Diseases, Tenth Revision, Clinical Modification [ICD-10-CM]:

157 J45.xxx) during the baseline period or on the index date. Patients had ≥1 dispensing of FF/UMEC/VI

158 100/62.5/25 mcg, or, if none,  $\geq 1$  overlapping day supply with all three components of triple therapy

159 (ICS, LABA, and LAMA) during the patient identification period. All patients had continuous health

160 plan enrollment with medical and pharmacy coverage for  $\geq 12$  months prior to the index date and

161  $\geq$ 3 months following the index date.

162 Patients were excluded if they had a diagnosis of COPD (ICD-10-CM: J41.x, J42, J43.x, J44.x) or

acute respiratory failure (ICD-10-CM: J96.0x, J96.2x) during the baseline period or on the index date,

had a diagnosis of cystic fibrosis (ICD-10-CM: E84.0–E84.9x) during the baseline or follow-up

165 periods, had dispensing for both FF/UMEC/VI and MITT on the index date, or used MITT during the

baseline period. Patients were excluded from the FF/UMEC/VI cohort if they had  $\geq 1$  dispensing of

167 FF/UMEC/VI during the baseline period. Subgroups of patients with  $\geq 6$  months and  $\geq 12$  months of

168 continuous enrollment post index were also identified.

### 169 *Study outcomes*

170 Study outcomes included adherence and persistence to triple therapy. Adherence was measured as the 171 proportion of days covered (PDC) at 3 months of follow-up in the main analysis, and 6 and 12 months 172 among the subgroups of patients with  $\geq 6$  and  $\geq 12$  months of follow-up, respectively. PDC was 173 calculated based on the total number of days with FF/UMEC/VI for the FF/UMEC/VI cohort, or the 174 total number of days with all three triple-therapy components (ICS, LABA, and LAMA) for the MITT 175 cohort. Days on triple therapy were divided by a fixed time interval (ie, 90 days for the main 176 analysis). Adherent patients were defined as patients achieving PDC  $\geq 0.8$  and PDC  $\geq 0.5$ , based on 177 existing studies and guidelines.(26, 29, 30)

Treatment persistence was assessed by the time to discontinuation of FF/UMEC/VI or MITT. For the FF/UMEC/VI cohort, non-persistence (discontinuation) was defined as a gap of >45 days (>60 days and >90 days were considered as sensitivity analyses) between the end of a dispensing and the following fill, or between the end of the last dispensing and the end of follow-up. For the MITT cohort, non-persistence was defined as noted above, but for any of the three components of triple therapy (ICS, LABA, or LAMA). Median time to non-persistence (time point when the proportion of patients persisting on triple therapy dropped to 50%) was also evaluated.

### 185 Statistical analysis

186 Inverse probability of treatment weighting based on the propensity score was used to adjust for 187 differences in baseline patient characteristics between the FF/UMEC/VI and MITT cohorts. Propensity scores were calculated separately for the main analysis and for the subgroup analyses. 188 Variables used in the propensity score for the main analysis among patients with  $\geq 3$  months of follow-189 190 up and the subgroup analysis among patients with  $\geq 6$  months of follow-up included age, sex, year and 191 quarter of index date, region, insurance plan type, physician specialty, Quan-Charlson Comorbidity 192 Index (Quan-CCI), asthma medication ratio, asthma exacerbations during the baseline period and on 193 the index date, asthma controller and rescue medication use, all-cause and asthma-related HRU and 194 costs, and Elixhauser comorbidities (31) (with  $\geq 1\%$  prevalence in either cohort). Among the subgroup of patients with  $\geq 12$  months of follow-up, the same variables were included in the propensity score 195 196 model with the exception of year and quarter of index date and included Elixhauser comorbidities 197 with a  $\geq 10\%$  prevalence in either cohort.

198 Baseline characteristics were summarized using mean and standard deviation (SD) for continuous

variables and frequencies and proportions for categorical variables. Differences in characteristics

201 considered a negligible imbalance between cohorts.(32)

202 Multivariable models were used to adjust for remaining imbalances after weighting (ie, doubly robust203 approach). The doubly robust models adjusted for index year, physician specialty, and congestive

heart failure for the 3-month analysis; for age, Quan-CCI, index year, hypertension, and antibiotic use
for the 6-month analysis; and for insurance plan type, physician specialty, diabetes, antibiotic use, and
systemic corticosteroid use for the 12-month analysis.

207 Adherence to triple therapy was compared between weighted cohorts using adjusted mean differences

208 (aMDs) in PDC from multivariable generalized linear models; proportions of adherent patients were

209 compared between weighted cohorts using adjusted risk ratios (aRRs) from multivariable log-

210 binomial regression models. Non-parametric bootstrap procedures with 499 replications were used to

calculate 95% confidence intervals (CIs) and *P*-values; this methodology was used to avoid making

assumptions about the distribution of the data. Persistence on triple therapy was assessed with

213 Kaplan–Meier analysis and compared between weighted cohorts at 3, 6, and 12 months of follow-up

using adjusted hazard ratios (aHRs), 95% CIs, and P-values from multivariable Cox proportional

215 hazards regression models.

All analyses were conducted using SAS Enterprise Guide, Version 7.15 or its latest version (SAS

217 Institute Inc., Cary, NC).

### 218 Results

- 219 Study population and baseline characteristics
- 220 A total of 1396 and 5115 patients in the FF/UMEC/VI and MITT cohorts, respectively, were included 221 in the main analysis (patients with  $\geq$ 3 months of follow-up). The mean follow-up periods were similar 222 between weighted FF/UMEC/VI and MITT cohorts (296 and 292 days, respectively) (Table 1 (33)). 223 Baseline demographics and clinical characteristics were generally well balanced between weighted FF/UMEC/VI and MITT cohorts (std. diff. <10%). Mean age was similar for FF/UMEC/VI versus 224 225 MITT users (50.6 vs 50.2 years), as was the proportion of females (63.8% vs 64.5%), the mean Quan-226 CCI score (1.5 vs 1.4), the proportion of patients with  $\geq 1$  asthma-related exacerbation (48.0% vs 227 51.1%,  $\geq 1$  asthma-related emergency department visit (9.2% vs 9.5%),  $\geq 1$  asthma-related hospitalization (2.5% vs 2.7%), and the mean total all-cause healthcare costs (\$19,696 vs \$19,034). 228 229 However, more patients in the weighted FF/UMEC/VI cohort were treated by a respiratory specialist compared with the weighted MITT cohort (std. diff. 12.2%). The most common comorbidities for 230 FF/UMEC/VI and MITT cohorts were hypertension, obesity, diabetes, and cardiac arrhythmias 231
- **232** (**Table 1** (33)).
- 233 Baseline asthma medication use was well balanced between patients initiating FF/UMEC/VI and
- 234 MITT after weighting (Table 2). The most common controller medication used in the baseline period
- was ICS/LABA (79.7% vs 77.7%), which was similar between the two groups as was use of short-
- acting  $\beta_2$ -agonist (SABA; 81.9% vs 80.0%), antibiotics (81.4% vs 78.6%), and systemic
- 237 corticosteroids (76.6% vs 75.4%).
- In the subgroup analysis of patients with  $\geq 6$  months of follow-up, 1119 and 4239 patients were
- included in the FF/UMEC/VI cohort and MITT cohorts, respectively, whereas in the 12 months of
- follow-up subgroup analysis, a total of 524 and 2666 patients were included. The mean follow-up
- time after weighting, as well as baseline demographics, clinical characteristics, and asthma medication
- use, were generally well balanced across patients initiating FF/UMEC/VI and MITT with ≥6 months
- 243 (See Tables E1 and E2) and 12 months (See Tables E3 and E4) of follow-up.

### 244 Adherence

- 245 At 3 months of follow-up, patients initiating FF/UMEC/VI had significantly higher mean SD
- 246 (median) PDC compared with MITT users (0.68, 0.27 [0.67] vs 0.59, 0.30 [0.60]; aMD [95% CI]:
- 247 0.09 [0.06–0.13]; *P*<0.001). This improvement was maintained at 6 months (0.56, 0.31 [0.58] vs 0.46,
- 248 0.31 [0.37]; aMD [95% CI]: 0.10 [0.05–0.14]; *P*<0.001) and 12 months (0.46, 0.33 [0.41] vs 0.35,
- 249 0.30 [0.25]; aMD [95% CI]: 0.12 [0.07–0.17]; *P*<0.001) of follow-up (**Figure 2**).
- 250 Moreover, patients initiated on FF/UMEC/VI were 31% more likely to be adherent (PDC  $\geq 0.8$ ) than
- 251 those initiated on MITT (40.6% vs 31.3%; aRR [95% CI]: 1.31 [1.13–1.54]; P<0.001). The difference
- between cohorts increased in the subgroup analyses among patients with  $\geq 6$  and  $\geq 12$  months of
- follow-up. At 6 months of follow-up, patients who initiated FF/UMEC/VI were 51% more likely to be
- adherent versus patients initiating MITT (30.9% vs 20.4%; aRR [95% CI]: 1.51 [1.23–1.81];
- 255 *P*<0.001) and, at 12 months, FF/UMEC/VI users were twice as likely to be adherent (24.7% vs
- 256 12.9%; aRR: [95% CI] 2.01 [1.61–2.60]; *P*<0.001) (Figure 3). Similar trends were observed when
- using PDC  $\geq 0.5$  as the threshold to define adherent patients (Figure 4).

## 258 Persistence

Based on a treatment discontinuation gap of >45 days to define non-persistence, the FF/UMEC/VI
cohort had a longer median persistence duration compared with the MITT cohort (131 days vs 66
days) (Figure 5). Patients initiating FF/UMEC/VI were 49% more likely to persist at 12 months
versus the MITT cohort (25.9% vs 15.1%, aHR [95% CI]: 1.49 [1.39–1.60], *P*<0.001) (Figure 5).</li>

Results of the sensitivity analyses using a >60 day and >90-day gap to define non-persistence were supportive of these findings, where FF/UMEC/VI users were 48% and 60% more likely to persist on triple therapy at 12 months (see Figures E1A and E1B in the Online Repository). Subgroup analyses of treatment persistence based on a gap of >45 days to define non-persistence among patients with  $\geq$ 6 and  $\geq$ 12 months of follow-up were consistent with the main analysis results (see Figures E2A and E2B in the Online Repository).

### 269 Discussion

270 In this real-world observational study, initiation of FF/UMEC/VI in a single inhaler was associated 271 with significantly higher adherence and persistence compared with initiation of MITT. Patients 272 initiated on FF/UMEC/VI had significantly higher adherence to triple therapy than those initiated on 273 MITT (higher mean PDC and higher likelihood to adhere) at 3, 6, and 12 months following triple 274 therapy initiation, and these differences increased among patients with longer follow-up periods. Treatment persistence was significantly higher among patients who initiated FF/UMEC/VI compared 275 276 with those who initiated MITT, with an approximately 50% higher likelihood of persistence among the FF/UMEC/VI cohort at all time points analyzed up to 12 months. Persistence results were 277 278 consistent in sensitivity analyses that used varying definitions of non-persistence, illustrating their 279 robustness. 280 Our results are consistent with existing observational studies among patients with asthma, which show 281 that adherence and persistence are higher when using a single inhaler versus multiple inhalers in dual 282 therapy.(34, 35) In two retrospective cohort studies in the US examining adherence to dual therapy via

a single inhaler versus two inhalers, the mean number of prescription refills and treatment days were

higher for single inhaler versus multiple inhalers. (34, 35) Additionally, previous studies in asthma

have shown that regimens with lower dosing frequencies are associated with improved adherence.(29,

286 36, 37) This suggests that once-daily FF/UMEC/VI overcomes the complexities of using multiple

inhalers with different dosing regimens in triple therapy.(2, 11, 22)

A predictive modeling study in Spain reported that a 20% increase in the use of SITT in patients with

289 COPD on MITT could potentially increase the proportion of adherent patients up to 52%.(38) In this

study, approximately 41% of patients were adherent to single-inhaler FF/UMEC/VI at 3 months of

follow-up, though this rate dropped to 25% after 12 months of follow-up.

292 MITT is associated with low adherence and persistence. A real-world study in the US among patients

with asthma found rates of adherence and persistence to MITT similar to those observed in this study,

with a mean [SD] PDC of 0.31 [0.27] at 12 months post initiation and 12% of patients remaining on

295 MITT at 12 months.(22) Suzuki et al published a cohort study in patients with asthma and 296 asthma/COPD overlap who initiated MITT in Japan.(21) Adherence and persistence rates were 297 slightly higher in the asthma-only cohort than those reported here, but still generally low (mean [SD] 298 PDC of 0.51 [0.36] over 12 months, and 38.5% of patients persistent to MITT at 12 months)(21); 299 however, the sample size was considerably smaller than the present study. 300 The benefits of FF/UMEC/VI with regards to adherence and persistence among patients with asthma may translate into improved clinical outcomes. The association between better adherence and 301 symptom control is well established, and treatment guidelines echo the importance of adherence in 302 303 asthma management and control.(1, 2, 11, 39) Moreover, better adherence may also translate into 304 economic benefits, as highlighted by the observational study in the US showing that adherent patients 305 (PDC  $\geq$ 80%) had lower medical healthcare costs and asthma-related exacerbation costs, although total

306 costs were numerically higher in the adherent group, reflecting as expected, higher pharmacy costs

among adherent patients (PDC  $\geq$ 80%).(22)

308 Although significant improvements relative to MITT were observed, the rates of adherence and 309 persistence to FF/UMEC/VI in this study are still relatively low and decreased over follow-up. This heavily burdened, moderate/severe population of triple-therapy-eligible patients with asthma would 310 clearly benefit from the improved lung function, symptom control, and lower asthma exacerbations 311 312 rates known to be associated with high adherence. (16, 18, 19) The reasons for poor adherence and persistence to asthma maintenance therapy are unknown, but possible explanations include required 313 314 lifestyle changes following therapy initiation, lack of understanding and awareness of the benefits of 315 therapy, emotional response to the disease, side effects, mistrust in healthcare professionals (HCPs) 316 and in the healthcare system, treatment beliefs, and little to no follow-up or monitoring after treatment 317 initiation.(2, 11, 40) Educational programs, such as active participation of patients in treatment 318 planning and phone calls from HCPs addressing medication concerns, have been shown to improve 319 adherence in adults with asthma,(41) as have the use of electronic monitoring devices.(42, 43) 320 Additionally, frequent monitoring of adherence and inhaler technique by HCPs is currently 321 recommended by GINA before stepping up controller medication, which has been shown to increase

adherence rates to asthma treatment.(2, 41, 44-46) Improved patient education and active monitoring
of patient adherence by HCPs may therefore contribute to improved adherence, and thus better
outcomes, for patients with asthma.

325 Our findings have several limitations inherent to observational retrospective studies. Firstly, our analyses indirectly measured adherence using pharmacy claims, which were not prospectively 326 measured, and it is unknown whether patients used the medication as prescribed. Additionally, some 327 physicians may intentionally choose MITT in preference to SITT as it provides an option to 328 up-/down-titrate the individual components of triple therapy. Thus, some of the observed non-329 330 adherence to medication in the MITT group may not actually represent non-adherence per se but may 331 have occurred based on physician recommendation to alter the therapy. Secondly, the definition of 332 non-adherence to MITT in this study included patients who discontinued their LAMA but continued 333 with their ICS/LABA therapy, whereas non-adherence to SITT would mean the patient received no 334 controller therapies at all. Thus, non-adherence to MITT could be less consequential than nonadherence to SITT in some cases where patients continue on their ICS/LABA, and as such might 335 336 potentially skew the proportion of patients with non-adherence towards the MITT cohort. Future 337 studies may be needed to examine long-term adherence to the ICS component of MITT versus SITT. 338 Thirdly, although propensity score weighting and doubly robust adjustment were used to account for 339 observed differences between the FF/UMEC/VI and MITT cohorts, the possibility of unmeasured confounding cannot be excluded. Fourth, over-the-counter drugs and most medications received 340 341 during an inpatient stay were not captured in the database. Fifth, these results may have limited generalizability to the US population with no insurance or public insurance (eg, Medicaid, Medicare). 342 Fifth, FF/UMEC/VI was the only SITT formulation examined in this study. No other SITT was 343 approved in the US for the treatment of asthma covering the follow-up period in our study (to 344 December 31, 2019). However, FF/UMEC/VI was available in the US for the maintenance treatment 345 346 of COPD and thus its use in this study reflects off-label use in asthma. As such, our results may not be generalizable to all other SITT formulations. Finally, the objective of the current study was solely to 347 compare adherence and persistence to SITT versus MITT in adult patients treated in real-world 348

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349 clinical practice. We acknowledge that further research of the association between adherence to triple 350 therapy and clinical and economic outcomes would be of value. Despite these limitations, this study 351 used a large, geographically diverse database with detailed medical and pharmacy data and with good 352 representation of the commercially insured US population. Additionally, this study presents real-353 world data on the use of FF/UMEC/VI versus MITT in patients with asthma, which was previously 354 scarce in the literature. Finally, FF/UMEC/VI and MITT cohorts were weighted without excluding 355 any patients, thereby enabling a representative assessment of each treatment and minimizing potential 356 confounding.

# 357 Conclusions

358 Results from this real-world, retrospective cohort study showed that once-daily single-inhaler

359 FF/UMEC/VI was associated with better adherence and persistence compared with once- or twice-

360 daily MITT among patients with asthma. Findings were consistent over time and across sensitivity

361 definitions. However, adherence and persistence were still relatively low, highlighting unmet

362 healthcare need for strategies to improve adherence in this population with moderate/severe asthma.

363 Further research is warranted to assess how the adherence and persistence benefits of single-inhaler

364 FF/UMEC/VI may translate into improved clinical and economic outcomes.

### 365 Ethical approval and informed consent

- 366 The study was conducted in compliance with all applicable laws regarding subject privacy. As this is
- 367 an observational study based on a database that contains anonymized patient records, patient informed
- 368 consent, ethics committee or Institutional Review Board approval are not required.

## 369 Data availability

- 370 The data that support the findings of this study are available from IQVIA and are not publicly
- available. Restrictions apply to the availability of these data, which were used under license for the

372 current study.

# 373 Authors' contributions

WW Busse and CB Abbott contributed to data analysis/interpretation. G Germain, F Laliberté and
SD MacKnight contributed to the conception/design of the study, acquisition of data, and data
analysis/interpretation. Y Jung contributed to acquisition of data, and data analysis/interpretation. MS
Duh and CM Averell contributed to conception/design of the study, and data analysis/interpretation.
All authors were involved in preparation and review of the manuscript and approved the final version
to be submitted. All authors take complete responsibility for the integrity of the data and accuracy of
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- 520

# 521 Figures

- 522 Figure 1. Study design
- <sup>523</sup> \*Index date for MITT was defined as the first overlapping day supply with ICS, LABA, and LAMA.
- 524 FF, fluticasone furoate; ICS, inhaled corticosteroid; LABA, long-acting  $\beta_2$ -agonist; LAMA, long-
- 525 acting muscarinic antagonist; MITT, multiple-inhaler triple therapy; UMEC, umeclidinium; VI,

526 vilanterol.

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527 Figure 2. Mean PDC among weighted FF/UMEC/VI and MITT cohorts at 3, 6, and 12 months after initiation 528

529

- aMD, adjusted mean difference; CI, confidence interval; FF, fluticasone furoate; MITT, multiple-530
- inhaler triple therapy; PDC, proportion of days covered; UMEC, umeclidinium; VI, vilanterol. 531

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Figure 3. Patients with PDC ≥0.8 among weighted FF/UMEC/VI and MITT cohorts at 3, 6, and 12
months after initiation

534

- 535 aRR, adjusted risk ratio; CI, confidence interval; FF, fluticasone furoate; MITT, multiple-inhaler
- triple therapy; PDC, proportion of days covered; UMEC, umeclidinium; VI, vilanterol.

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- 537 Figure 4. Patients with PDC  $\geq 0.5$  among weighted FF/UMEC/VI and MITT cohorts at 3, 6, and 12
- 538 months after initiation
- 539 aRR, adjusted risk ratio; FF, fluticasone furoate; CI, confidence interval; MITT, multiple-inhaler
- triple therapy; PDC, proportion of days covered; UMEC, umeclidinium; VI, vilanterol.

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541 Figure 5. Kaplan–Meier persistence rates among weighted FF/UMEC/VI and MITT cohorts using a gap of 45 days\* with ≥3 months of follow-up

542

- \*For FF/UMEC/VI, non-persistence was defined as a gap of 45 days between the end of the days' supply of a dispensing and the start date of the next fill, or
- between the end of the days' supply of the last dispensing and the end of the observation period. For MITT, non-persistence was defined as noted above, but
- 545 for any of the three components of the triple therapy (ie, ICS, LABA, or LAMA); the earliest date of non-persistence for any of the three components was
- 546 selected.
- <sup>†</sup>Number of patients still observed at the specific point in time.
- 548 CI, confidence interval; FF, fluticasone furoate; ICS, inhaled corticosteroid; LABA, long-acting β<sub>2</sub>-agonist; LAMA, long-acting muscarinic antagonist; MITT,
- 549 multiple-inhaler triple therapy; UMEC, umeclidinium; VI, vilanterol.

2	0
2	9

	Unweighted cohorts		W	Weighted cohorts		
	FF/UMEC/VI N=1396	MITT N=5115	Std. diff. (%)*	FF/UMEC/VI N=1396	MITT N=5115	Std. diff. (%)*
Post-index follow-up time, days, mean (SD)	275.4 (90.8)	295.2 (90.6)	21.8	296.2 (90.4)	291.6 (91.1)	5.1
Age (years), mean (SD)	52.1 (11.3)	49.7 (12.9)	19.3	50.6 (12.2)	50.2 (12.7)	3.1
Female, n (%)	813 (58.2)	3372 (65.9)	15.8	891 (63.8)	3302 (64.5)	1.5
Quan-CCI score(33), mean (SD)	1.4 (1.2)	1.4 (1.1)	0.8	1.5 (1.1)	1.4 (1.1)	4.7
Physician specialty <sup>†</sup> , n (%)		R	I			L
Primary care	606 (43.4)	1620 (31.7)	24.2	429 (30.7)	1733 (33.9)	6.8
Respiratory specialist	554 (39.7)	2625 (51.3)	23.4	777 (55.7)	2537 (49.6)	12.2
Others	236 (16.9)	870 (17.0)	0.3	190 (13.6)	845 (16.5)	8.1
Overall asthma-related exacerbations <sup>‡</sup>				•		
Asthma-related exacerbation, mean (SD)	0.7 (1.2)	0.9 (1.2)	18.2	0.9 (1.3)	0.9 (1.2)	0.8
$\geq$ 1 Asthma-related exacerbation, n (%)	553 (39.6)	2709 (53.0)	26.8	670 (48.0)	2612 (51.1)	6.2
All-cause HCU, n (%)						
≥1 ED visit	512 (36.7)	2054 (40.2)	7.2	516 (37.0)	2015 (39.4)	5.0
$\geq 1$ hospitalization	90 (6.4)	480 (9.4)	10.9	131 (9.4)	451 (8.8)	1.9
Asthma-related HCU, n (%) <sup>§</sup>						
≥1 ED visit	108 (7.7)	516 (10.1)	8.3	128 (9.2)	488 (9.5)	1.2
$\geq 1$ hospitalization	26 (1.9)	149 (2.9)	6.9	35 (2.5)	140 (2.7)	1.5

# 550 *Table 1. Baseline demographics and clinical characteristics among patients initiating FF/UMEC/VI and MITT with ≥3 months of follow-up*

All-cause healthcare costs \$US 2019, mean (SD)								
Total costs (modical + marmons)	16,113	19,504	8.2	19,696	19,034	1.7		
Total costs (medical + pharmacy)	(25,962)	(52,190)		(28,452)	(48,142)			
Asthma-related healthcare costs \$US 2019, mean (SD)	Asthma-related healthcare costs \$US 2019, mean (SD) <sup>§</sup>							
Total costs (modical + pharmacy)	3665 (10,537)	4883	11.0	5332 (10,782)	4701	6.0		
Total costs (medical + pharmacy)		(10,130)	11.0		(10,231)			
Patient-paid cost of index medication fill	92 (164)	67 (120)	17.6	85 (160)	73 (140)	7.9		
Comorbidities", n (%)		0		•				
Hypertension	676 (48.4)	2133 (41.7)	13.5	656 (47.0)	2193 (42.9)	8.2		
Obesity	330 (23.6)	1259 (24.6)	2.3	313 (22.4)	1256 (24.6)	5.1		
Diabetes	246 (17.6)	780 (15.2)	6.4	241 (17.3)	810 (15.8)	3.8		
Cardiac arrhythmias	157 (11.2)	649 (12.7)	4.4	184 (13.2)	635 (12.4)	2.3		
Rheumatoid arthritis/collagen vascular disease	105 (7.5)	389 (7.6)	0.3	125 (8.9)	392 (7.7)	4.6		
Liver disease	89 (6.4)	305 (6.0)	1.7	71 (5.1)	311 (6.1)	4.5		
Valvular disease	81 (5.8)	248 (4.8)	4.2	82 (5.8)	261 (5.1)	3.2		
Deficiency anemias	79 (5.7)	264 (5.2)	2.2	65 (4.6)	268 (5.2)	2.7		
Solid tumor without metastasis	66 (4.7)	182 (3.6)	5.9	64 (4.6)	200 (3.9)	3.2		
Congestive heart failure	52 (3.7)	176 (3.4)	1.5	96 (6.9)	188 (3.7)	14.5		

551 Note: Demographics and physician specialty were evaluated at the index date, while all other clinical characteristics were evaluated during the 12-month

552 baseline period.

\*For continuous variables, the std. diff. was calculated by dividing the absolute difference in means of the control and the case by the pooled SD of both

groups. The pooled SD is the square root of the average of the squared SDs. For dichotomous variables, the std. diff. was calculated using the following

- equation where P is the respective proportion of participants in each group:  $|(P_{case}-P_{control})| / \sqrt{[(P_{case}(1-P_{case})+P_{control}(1-P_{control}))/2]}$ . A std. diff. of <10% was considered a negligible imbalance between cohorts.
- <sup>†</sup>Based on medical claims within 30 days prior to the index date, including the index date; the claim closest to the index date was selected. Respiratory
- specialist was prioritized among patients with both primary care and respiratory specialist on the closest claim to the index date (ie, primary care and
- respiratory specialist are mutually exclusive). Primary care includes family/general medicine practitioners, nurse practitioners, internal medicine, and
- 560 pediatricians. Respiratory specialists include pulmonologists and allergists.
- <sup>5</sup>Exacerbations were SCS-defined or hospitalization-defined. SCS-defined: an asthma-related ED visit or outpatient visit with an OCS or SCS dispensing
- 562 and/or administration with ± 5 days; hospitalization-defined: an inpatient visit with a primary or secondary diagnosis of asthma, or an ED visit with a primary
- 563 diagnosis of asthma and resulting in an inpatient visit within + 1 day.
- 564 <sup>§</sup>Asthma-related HRU episodes and costs were identified as any claim with a primary diagnosis of asthma, and costs were inflation-adjusted to \$US 2019
- using the USA Medical Care consumer price index from the Bureau of Labor Statistics from the USA Department of Labor.
- 566 <sup>¶</sup>Occurring in >4% of patients in  $\ge 1$  cohort.
- 567 ED, emergency department; FF, fluticasone furoate; HRU, healthcare resource utilization; Quan-CCI, Quan-Charlson Comorbidity Index; MITT, multiple-
- 568 inhaler triple therapy; OCS, oral corticosteroid; SD, standard deviation; SCS, systemic corticosteroid; Std. diff., standardized difference; UMEC,
- 569 umeclidinium; VI, vilanterol.

	Unweighted cohorts			Weighted cohorts			
	FF/UMEC/VI N=1396	MITT N=5115	Std. diff. (%)*	FF/UMEC/VI N=1396	MITT N=5115	Std. diff. (%)*	
Baseline controller medication,	n (%)						
ICS/LABA	842 (60.3)	4187 (81.9)	47.5	1113 (79.7)	3975 (77.7)	4.9	
Leukotriene modifiers	709 (50.8)	3272 (64.0)	26.7	899 (64.4)	3139 (61.4)	6.2	
ICS	185 (13.3)	1059 (20.7)	19.8	300 (21.5)	986 (19.3)	5.5	
Biologics	64 (4.6)	309 (6.0)	6.5	80 (5.8)	295 (5.8)	0.1	
LAMA/LABA	48 (3.4)	112 (2.2)	7.6	39 (2.8)	136 (2.7)	0.9	
LAMA	26 (1.9)	1225 (23.9)	65.9	274 (19.6)	988 (19.3)	0.8	
Methylxanthines	15 (1.1)	47 (0.9)	1.6	14 (1.0)	51 (1.0)	0.0	
LABA	7 (0.5)	39 (0.8)	3.3	5 (0.3)	35 (0.7)	5.1	
Mast cell stabilizers	1 (0.1)	9 (0.2)	3.0	1 (0.1)	8 (0.2)	3.1	
Other respiratory medications,	n (%)		l		L	1	
Antibiotics	1097 (78.6)	4032 (78.8)	0.6	1136 (81.4)	4023 (78.6)	6.8	
SABA	991 (71.0)	4203 (82.2)	26.4	1143 (81.9)	4090 (80.0)	4.8	
SCS	987 (70.7)	3922 (76.7)	13.6	1069 (76.6)	3859 (75.4)	2.7	
SABA/SAMA	165 (11.8)	667 (13.0)	3.7	222 (15.9)	657 (12.8)	8.8	
SAMA	35 (2.5)	186 (3.6)	6.5	50 (3.6)	174 (3.4)	1.2	

# 570 Table 2. Baseline respiratory medication use among patients initiating FF/UMEC/VI and MITT with $\geq 3$ months of follow-up

571 Note: Medication use was evaluated during the 12-month baseline period, excluding the index data.

- 572 \*The std. diff. was calculated using the following equation where P is the respective proportion of participants in each group:  $|(P_{case}-P_{control})| / \sqrt{[(P_{case}-P_{control})]} / \sqrt{[(P_{case}-P_{control})$
- 573  $P_{case}$ )+ $P_{control}(1-P_{control}))/2].$
- 574 FF, fluticasone furoate; ICS, inhaled corticosteroid; LABA, long-acting β<sub>2</sub>-agonist; LAMA, long-acting muscarinic antagonist; MITT, multiple-inhaler triple
- 575 therapy; SABA, short-acting β<sub>2</sub>-agonist; SAMA, short-acting muscarinic antagonist; SCS, systemic corticosteroid; Std. diff., standardized difference; UMEC,
- 576 umeclidinium; VI, vilanterol.

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- Evaluation of baseline demographics and clinical characteristics
- Subgroup analyses for patients with ≥6 and ≥12 months of follow-up









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