## JAMA | Original Investigation

# Association of Colonoscopy Adenoma Findings With Long-term Colorectal Cancer Incidence

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**IMPORTANCE** Individuals with adenomatous polyps are advised to undergo repeated colonoscopy surveillance to prevent subsequent colorectal cancer (CRC), but the relationship between adenomas at colonoscopy and long-term CRC incidence is unclear.

**OBJECTIVE** To compare long-term CRC incidence by colonoscopy adenoma findings.

**DESIGN, SETTING, AND PARTICIPANTS** Multicenter, prospective cohort study of participants in the Prostate, Lung, Colorectal, and Ovarian (PLCO) Cancer randomized clinical trial of flexible sigmoidoscopy (FSG) beginning in 1993 with follow-up for CRC incidence to 2013 across the United States. Participants included 154 900 men and women aged 55 to 74 years enrolled in PLCO of whom 15 935 underwent colonoscopy following their first positive FSG screening result. The final day of follow-up was December 31, 2013.

**EXPOSURES** Enrolled participants had been randomized to FSG or usual care. Participants who underwent FSG and had abnormal findings were referred for follow-up. Subsequent colonoscopy findings were categorized as advanced adenoma (≥1 cm, high-grade dysplasia, or tubulovillous or villous histology), nonadvanced adenoma (<1 cm without advanced histology), or no adenoma.

MAIN OUTCOMES AND MEASURES The primary outcome was CRC incidence within 15 years of the baseline colonoscopy. The secondary outcome was CRC mortality.

**RESULTS** There were 15 935 participants who underwent colonoscopy (men, 59.7%; white, 90.7%; median age, 64 y [IQR, 61-68]). On initial colonoscopy, 2882 participants (18.1%) had an advanced adenoma, 5068 participants (31.8%) had a nonadvanced adenoma, and 7985 participants (50.1%) had no adenoma; median follow-up for CRC incidence was 12.9 years. CRC incidence rates per 10 000 person-years of observation were 20.0 (95% CI, 15.3-24.7; n = 70) for advanced adenoma, 9.1 (95% CI, 6.7-11.5; n = 55) for nonadvanced adenoma, and 7.5 (95% CI, 5.8-9.7; n = 71) for no adenoma. Participants with advanced adenoma were significantly more likely to develop CRC compared with participants with no adenoma (rate ratio [RR], 2.7 [95% CI, 1.9-3.7]; P < .001). There was no significant difference in CRC risk between participants with nonadvanced adenoma compared with no adenoma (RR, 1.2 [95% CI, 0.8-1.7]; P = .30). Compared with participants with no adenoma, those with advanced adenoma were at significantly increased risk of CRC death (RR, 2.6 [95% CI, 1.2-5.7], P = .01), but mortality risk in participants with nonadvanced adenoma was not significantly different (RR, 1.2 [95% CI, 0.5-2.7], P = .68).

**CONCLUSIONS AND RELEVANCE** Over a median of 13 years of follow-up, participants with an advanced adenoma at diagnostic colonoscopy prompted by a positive flexible sigmoidoscopy result were at significantly increased risk of developing colorectal cancer compared with those with no adenoma. Identification of nonadvanced adenoma may not be associated with increased colorectal cancer risk.

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Supplemental content

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Corresponding Author: Robert E. Schoen, MD, MPH, Division of Gastroenterology, Hepatology and Nutrition, Mezzanine Level, C Wing, PUH, 200 Lothrop St, Pittsburgh, PA 15213-2582 (rschoen@pitt.edu). bservational studies and randomized trials of endoscopic screening for colorectal cancer (CRC) with colonoscopy or flexible sigmoidoscopy demonstrate a significant reduction in CRC incidence and mortality.<sup>1-7</sup> The reduction in incidence is due to the removal of the precursor lesion of colorectal cancer, the adenomatous polyp.<sup>1-3,5,6,8</sup>

Adenomatous polyps occur in about one-third of patients undergoing screening colonoscopy.9 To prevent subsequent cancer after the removal of adenomatous polyps, individuals are advised to undergo periodic colonoscopy surveillance with the timing determined by the number of adenomas and whether they were advanced by size or histology. International guidelines for the timing and frequency of colonoscopy surveillance vary and have weak supporting evidence.<sup>10</sup> Individuals with advanced adenomas (≥1 cm, highgrade dysplasia, or villous or tubulovillous histology) are advised to return in 3 years.<sup>11</sup> In the United States, individuals with 1 to 2 nonadvanced adenomas (<1 cm and no high-grade dysplasia or villous or tubulovillous histology), the most common preneoplastic finding, are advised to return in 5 to 10 years, but evidence to inform guidelines for who should return at 5 as opposed to 10 years is lacking. The timing for surveillance colonoscopy should be based on the ensuing risk of developing CRC, but few studies have examined CRC incidence after adenoma removal.<sup>12-15</sup>

The relationship between adenoma findings on diagnostic colonoscopy prompted by a positive flexible sigmoidoscopy (FSG) screening result and long-term risk of CRC incidence was examined in participants in the Prostate, Lung, Colorectal, and Ovarian (PLCO) Cancer Screening Trial.

## Methods

# **Study Population and Design**

This study was a prospective cohort study of participants enrolled in the multicenter, randomized PLCO Cancer Screening Trial of FSG. The trial enrolled 154 900 men and women aged 55 to 74 years between 1993 and 2001. Individuals were randomized to receive FSG at baseline and again at year 3 (for those randomized before April 1995) or year 5 vs usual care. Participants who had a positive FSG result (findings of a polyp or mass) did not have the polyps removed but were referred to their primary care physicians for decisions regarding diagnostic follow-up, about 75% of whom underwent colonoscopy.<sup>16</sup> Details of the trial have been previously published.<sup>2,17</sup> Each participating center's institutional review board approved the protocol and all study participants provided written informed consent. The PLCO trial protocol and statistical analysis plan are available in Supplement 1.

## **Definition of Exposure and Study Cohort**

For this study, participants who had a positive FSG result at the baseline (time zero [TO]) or the year 3 or 5 (time 3 y or time 5 y [T3 or T5]) examination and had a diagnostic colonoscopy within 1 year of the FSG were included. Medical records related to follow-up were collected and abstracted in a standard-ized fashion including polyp size, number, and histology.

Question Is there a significant difference in subsequent colorectal cancer (CRC) incidence between individuals with either advanced or nonadvanced adenomas compared with those with no adenomas?

**Findings** In this prospective cohort study that included 15 935 participants undergoing colonoscopy following an abnormal flexible sigmoidoscopy screening with a median follow-up of 13 years, the CRC incidence per 10 000 person-years was 20.0 for baseline advanced adenoma, 9.1 for nonadvanced adenoma, and 7.5 for no adenoma. The difference compared with no adenoma was statistically significant for advanced adenoma but not for nonadvanced adenoma.

Meaning Identification of advanced adenoma was associated with increased risk for subsequent CRC incidence; nonadvanced adenoma may not be associated with increased risk.

Pathologic findings were abstracted from local pathologic reports; specimens were not reviewed centrally. The number, size, and histologic characteristics of lesions detected at the colonoscopy were ascertained and categorized hierarchically in accordance with current US guidelines as (1) advanced adenoma (any adenoma ≥1 cm, high-grade dysplasia, or with tubulovillous or villous histology); (2) nonadvanced adenoma (adenomas <1 cm without advanced histology); or (3) no adenoma. Within the nonadvanced adenoma category, participants were subcategorized as 1 to 2 adenomas or 3 adenomas or more.<sup>11</sup>

Lesions in the rectum through the splenic flexure were defined as distal and those in the transverse colon to the cecum were defined as proximal. Colonoscopy preparation and cecal intubation were abstracted on the report forms. If the colonoscopy preparation was designated as inadequate or if the cecum was not visualized, the examination was classified as inadequate. If the preparation quality was not reported but the cecum was visualized, the colonoscopy examination was considered adequate. Baseline participant demographics including age, body mass index, and smoking status at enrollment, sex, participant-identified fixed-category race, family history of cancer(s), and aspirin or ibuprofen use were recorded as these factors are known to influence colonic neoplastic risk.<sup>18-24</sup>

The primary exclusion criteria were a history of prostate, lung, colorectal, or ovarian cancer or ongoing treatment for any type of cancer except basal or squamous-cell skin cancer. Beginning in 1995, participants with a colonoscopy, FSG, or barium enema within the prior 3 years were ineligible. Participants who underwent a colonoscopy within a year of the first positive FSG result and were diagnosed with colorectal cancer within 2 months of the colonoscopy were excluded.

## **Definition of Outcomes**

The primary outcome was colorectal cancer incidence and the secondary outcome was colorectal cancer mortality. Incident cancers and deaths were ascertained primarily by an active, trial-led process that involved mailing annual study update questionnaires to participants, obtaining and abstracting medical records pertaining to cancer using certified tumor registrars, and obtaining death certificates to confirm mortality.

Starting in 2011, the trial switched to a centralized follow-up system that utilized passive linkages to state cancer registries to assess cancer incidence and linkages to the National Death Index to assess mortality. Some participants declined to be reconsented and thus opted out of extended follow-up. Participants who consented for extended follow-up (85.0% of those alive in 2011) were followed up for CRC incidence through the end of 2013 and for mortality through the end of 2012; those who declined were followed for incidence until December 31, 2009, and for mortality until their date of opting out (generally in 2011). The final date of follow-up was December 31, 2013. Therefore, for those who declined, deaths and incident CRC cases were ascertained solely by the active process, and for consenters, deaths and cases were ascertained by the active process through the end of 2009 and through passive linkages after that (with some overlap during 2010-2011). Cause of death was reviewed blinded to study group and categorized as due to colorectal cancer in a formal adjudication process until 2009 and by death certificate or National Death Index-linked records after 2009.<sup>25,26</sup>

## **Statistical Methods**

Categorical variables were compared using  $\chi^2$  or Fisher exact test when appropriate. Continuous variables were compared using Wilcoxon rank sum testing for pairwise comparisons or Kruskal-Wallis for multiple comparisons.

Follow-up for CRC incidence and mortality began 2 months from the date of participants' colonoscopy. CRC incidence and mortality rates were computed for the period within 15 years of the start of follow-up as incident CRC cases or deaths divided by person-years of observation; rates were similarly computed for other observation periods (eg, within 10 years, within 5 years). Cumulative CRC incidence through 15 years was computed using Kaplan-Meier curves. Participants without CRC were censored at the end of follow-up.

Colorectal incidence and mortality for participants with advanced adenoma, nonadvanced adenoma, and no adenoma were compared using rate ratios with corresponding 95% CIs. The referent was the no adenoma group unless otherwise stated. Additionally, Poisson regression models for colorectal cancer incidence were constructed using baseline adenoma status and variables previously shown to be associated with outcomes including age, sex, regular aspirin or ibuprofen use, baseline vs postbaseline status of the initial colonoscopy, as well as the timing of the initial diagnostic colonoscopy. Response rate to baseline questionnaire items (race, family history, body mass index, aspirin or ibuprofen use, smoking status, prior lower endoscopy) ranged from 98.3% to 99.3%. A dummy variable was used for missing data on aspirin or ibuprofen use. Model goodness of fit was assessed using  $\chi^2$  tests on model deviance. All tests were 2-sided and significance was considered at an a of .05, unless otherwise stated. All analyses were performed using SAS software (SAS Institute), version 9.3.

#### Subgroup Analyses

## Participants With a Negative Screening Result

To be included in the no adenoma group, participants had to have an initial positive FSG result but no findings or nonadenomatous findings at subsequent colonoscopy. To evaluate the representativeness of the no adenoma group, distal CRC incidence in the no adenoma group was compared with participants with a negative, adequate, initial FSG result. The analysis was restricted to the distal colon because participants with a negative baseline screening result could have had undetected proximal disease. To account for time from initial FSG screen to subsequent colonoscopy and ensure comparability between negative and positive FSG result groups, CRC follow-up began at 133 days from the negative FSG result, where 133 days represented the median interval from FSG screen to colonoscopy for participants in the no adenoma group. CRC incidence rates were computed similarly as for the primary analysis.

#### Analysis of Subsequent Colonoscopy

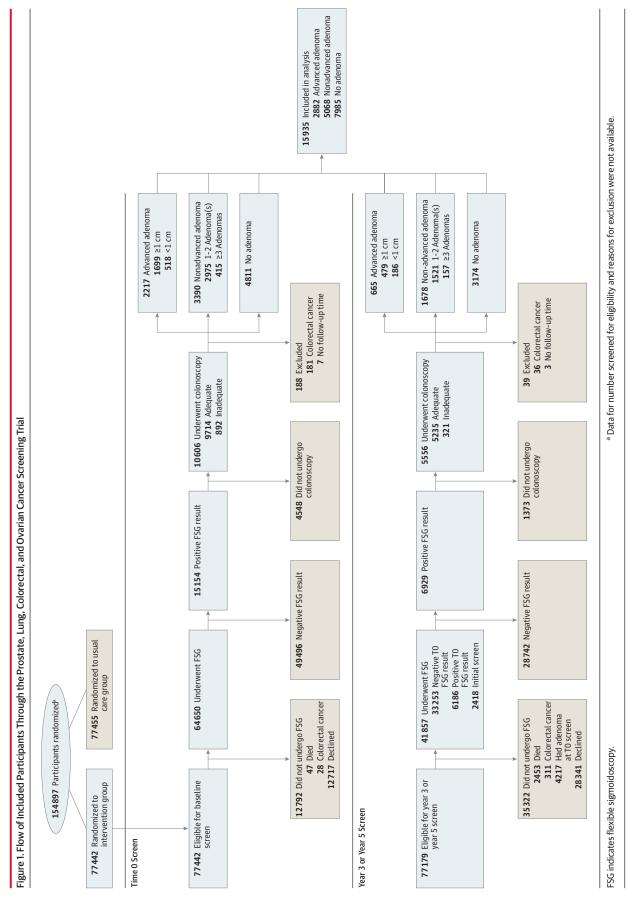
In participants with adenomas, repeat colonoscopy after initial colonoscopy could have affected the subsequent incidence of CRC. The trial did not routinely collect information on utilization or yield of surveillance colonoscopy. However, an ancillary study of colonoscopy utilization conducted in 2005-2007<sup>27,28</sup> collected such information in a randomly selected subset of participants (n = 3492, 21.9% of current study cohort). In the study of colonoscopy utilization, participants whose first positive screening result was in T3 or T5 were excluded. Otherwise, the eligibility criteria were having a positive initial FSG result and a follow-up colonoscopy, similar to the current study. In the study of colonoscopy utilization, participants with advanced adenomas were oversampled. Participants were interviewed by phone about colonoscopy use, and confirmatory medical records of colonoscopy examinations including the indication and pathology reports were obtained and abstracted. The proportion of participants with advanced adenoma, nonadvanced adenoma, and no adenoma who underwent subsequent colonoscopy and the removal of adenoma for each of these groups was determined.

## Results

#### **Study Cohort**

Of 77 442 participants randomized to FSG screening, 64 650 (83.5%) underwent an initial (TO) FSG examination, of whom 15 154 (19.6%) had a positive examination result and 10 606 (70.0%) had follow-up colonoscopy within 1 year. Of 35 671 participants who either had a negative initial FSG result and underwent repeat screening at T3 or T5 or whose initial screen was at T3 or T5, 6929 (19.4%) had a positive result and 5556 (80.2%) underwent colonoscopy within 1 year. In total, 16 162 participants had follow-up with diagnostic colonoscopy prompted by FSG screening. There were 217 cases of CRC diagnosed with colonoscopy and these participants were excluded. Ten participants had no follow-up time and were excluded, resulting in 15 935 included (**Figure 1**).

Index colonoscopy findings identified 2882 participants (18.1%) with advanced adenoma, 5068 (31.8%) with nonadvanced adenoma, and 7985 (50.1%) with no adenoma (**Table 1**, Figure 1). Within the advanced adenoma group, 2178



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Table 1. Study Population Baseline Demographics and Characteristics by Index Colonoscopy Findings Among Participants Aged 55 to 74 Years
Enrolled in the Prostate, Lung, Colorectal, and Ovarian Cancer Randomized Clinical Trial

	Advanced Adenoma, No. (%)			Nonadvanced A No. (%)	denoma,		No Adenoma, No. (%)	Total Population
	All (n = 2882)	≥1 cm (n = 2178)	<1 cm (n = 704) <sup>a</sup>	All (n = 5068)	≥3 (n = 572)	1-2 (n = 4496)	All (n = 7985)	No. (%) (N = 15 935)
Age, median (IQR), y <sup>b</sup>	65 (61-69)	65 (61-69)	65 (61-69)	64 (61-68)	64 (61-69)	64 (61-68)	64 (61-68)	64 (61-68)
Men	1937 (67.2)	1465 (67.3)	472 (67.0)	3307 (65.3)	432 (75.5)	2875 (63.9)	4263 (53.4)	9507 (59.7)
Race/ethnicity								
White	2608 (90.5)	1955 (89.8)	653 (92.8)	4551 (89.8)	518 (90.6)	4033 (89.7)	7289 (91.3)	14 448 (90.7)
Black	140 (4.9)	115 (5.3)	25 (3.6)	202 (4.0)	19 (3.3)	183 (4.1)	415 (5.2)	757 (4.8)
Hispanic	37 (1.3)	27 (1.2)	10 (1.4)	89 (1.8)	2 (0.3)	87 (1.9)	122 (1.5)	248 (1.6)
Asian	58 (2.0)	51 (2.3)	7 (1.0)	156 (3.1)	21 (3.7)	135 (3.0)	78 (1.0)	292 (1.8)
Other or unknown	39 (1.4)	30 (1.4)	9 (1.3)	70 (1.4)	12 (2.1)	58 (1.3)	81 (1.0)	190 (1.2)
First-degree family history of colorectal cancer	355 (12.3)	274 (12.6)	81 (11.5)	557 (11.0)	70 (12.2)	487 (10.8)	858 (10.7)	1770 (11.1)
Smoking status								
Never	1043 (36.2)	775 (35.6)	268 (38.1)	1921 (37.9)	183 (32.0)	1738 (38.7)	3061 (38.3)	6025 (37.8)
Current	459 (15.9)	372 (17.1)	87 (12.4)	749 (14.8)	94 (16.4)	655 (14.6)	1156 (14.5)	2364 (14.8)
Former	1362 (47.3)	1018 (46.7)	344 (48.9)	2359 (46.5)	286 (50.0)	2073 (46.1)	3717 (46.5)	7438 (46.7)
Unknown	18 (0.6)	13 (0.6)	5 (0.7)	39 (0.8)	9 (1.6)	30 (0.7)	51 (0.6)	108 (0.7)
BMI, median (IQR)	27.2 (24.5-30.3)	27.2 (24.5-30.3)	27.2 (24.5-30.5)	27.3 (24.5-30.3)	27.5 (25.1-30.7)	27.3 (24.5-30.2)	27.2 (24.5-30.4)	27.3 (24.5-30.3)
Aspirin or ibuprofen in past 12 mo (≥3 times/wk)	1136 (39.4)	853 (39.2)	283 (40.2)	2170 (42.8)	243 (42.5)	1927 (42.9)	3518 (44.1)	6824 (42.8)
Prior lower GI endoscopy <sup>c</sup>	249 (8.6)	180 (8.3)	69 (9.8)	599 (11.8)	72 (12.6)	527 (11.7)	964 (12.1)	1812 (11.4)
First positive FSG at baseline	2217 (76.9)	1699 (78.0)	518 (73.6)	3390 (66.9)	415 (72.6)	2975 (66.2)	4811 (60.3)	10 418 (65.4)
Adequate colonoscopy following first positive FSG <sup>d</sup>	2627 (91.2)	2010 (92.3)	617 (87.6)	4711 (93.0)	531 (92.8)	4180 (93.0)	7333 (91.8)	14671 (92.1)
Year of initial colonoscopy								
1993-1995	372 (12.9)	293 (13.5)	79 (11.2)	667 (13.2)	94 (16.4)	573 (12.7)	871 (10.9)	1910 (12.0)
1996-1997	818 (28.4)	620 (28.5)	198 (28.1)	1162 (22.9)	153 (26.7)	1009 (22.4)	1544 (19.3)	3524 (22.2)
1998-1999	786 (27.3)	576 (26.4)	210 (29.8)	1216 (24.0)	132 (23.1)	1084 (24.1)	1904 (23.8)	3906 (24.5)
2000-2002	581 (20.2)	448 (20.6)	133 (18.9)	1159 (22.9)	98 (17.1)	1061 (23.6)	1863 (23.3)	3603 (22.6)
2003-2007	325 (11.3)	241 (11.1)	84 (11.9)	864 (17.0)	95 (16.6)	769 (17.1)	1803 (22.6)	2992 (18.8)
Length of follow-up, median (IQR), y	13.6 (10.3-15)	13.6 (10.3-15)	13.4 (10.3-15)	13.1 (9.9-15)	13.1 (9.7-15)	13.1 (9.9-15)	12.5 (9.7-15)	12.9 (9.8-15)

Abbreviations: BMI body mass index (calculated as weight in kilograms divided by height in meters squared); FSG, flexible sigmoidoscopy; GI, gastrointestinal; IQR, interquartile range.

participants (75.6%) had a lesion of 1 cm or larger and 704 par-

ticipants (24.4%) had advanced histology (114 [16.2%] with

high-grade dysplasia and 590 participants [83.8%] had vil-

lous or tubulovillous pathology). In the nonadvanced ad-

enoma group, 572 participants (11.3%) had 3 or more nonadvanced adenomas and 4496 participants (88.7%) had 1 to 2

nonadvanced adenoma(s). Those with any adenomas were

more likely to be men (Table 1). Aspirin or ibuprofen use, fam-

ily history of CRC, smoking status, body mass index, and race

did not differ by adenoma status. Overall median follow-up for

CRC incidence was 12.9 years with 13.6, 13.1, and 12.5 years for

advanced adenoma, nonadvanced adenoma, and no ad-

enoma, respectively. The proportion of each group who de-

clined extended follow-up ranged from 9.5% to 9.8% with no

significant difference between adenoma groups (eTable 1 in

FSG, or barium enema within the prior 3 y were excluded.

<sup>d</sup> If the colonoscopy preparation was designated as inadequate

or if the cecum was not visualized, the examination was classified as

inadequate. If the preparation quality was not reported but the cecum was visualized, the colonoscopy examination was considered adequate.

<sup>a</sup> Advanced adenomas <1 cm were defined as villous or tubulovillous

or high-grade dysplasia on histology.

<sup>b</sup> At start of colorectal cancer follow-up.

Supplement 2). Those who declined extended follow-up were significantly younger, women, and white and had an overall median follow-up of 11.1 years compared with 13.2 years for those who agreed to extended follow-up.

## **CRC Incidence by Adenoma Group**

Through the end of follow-up, there were a total of 196 CRC cases: 70 in the advanced adenoma group; 55 in the nonadvanced adenoma group; and 71 in the no adenoma group. Incidence rates per 10 000 person-years were 20.0 (95% CI, 15.3 to 24.7) in the advanced adenoma group, 9.1 (95% CI, 6.7 to 11.5) in the nonadvanced adenoma group, and 7.5 (95% CI, 5.8 to 9.7) in the no adenoma group (Table 2).

Cumulative incidence over 15 years was 2.9% (95% CI, 2.3% to 3.7%) in the advanced adenoma group, 1.4% (95% CI,

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	Advanced Adenoma	oma					Nonadvanced Adenoma	denoma					emonable oN	
	All (n = 2882)		≥1 cm (n = 2178)	8)	<1 cm (n = 704) <sup>a</sup>	е(	All (n = 5068)		≥3 (n = 572)		1-2 (n = 4496)	-	All $(n = 7985)$	
	No. of Cases	Rate	No. of Cases	Rate	No. of Cases	Rate	No. of Cases	Rate	No. of Cases	Rate	No. of Cases	Rate	No. of Cases	Rate
No. of person-years at 15 y	34 993		26 494		8499		60 650		6838		53812		94 248	
<b>Colorectal Cancer Incidence</b>														
Within 3 y	16	18.9	14	21.7	2	9.6	2	1.3	0	0	2	1.5	8	3.4
Within 5 y	27	19.2	22	20.7	5	14.6	11	4.4	1	3.6	10	4.6	22	5.6
>5 to 10 y	29	23.1	20	21.1	6	29.3	26	11.8	m	12.1	23	11.7	26	7.5
Within 10 y	56	21.1	42	20.9	14	21.5	37	7.9	4	7.6	33	7.9	48	6.5
>10 to 15 y	14	16.7	6	14.1	5	25.0	18	13.1	m	19.4	15	12.3	23	11.4
Within 15 y (cumulative)	70	20.0	51	19.2	19	22.4	55	9.1	7	10.2	48	8.9	71	7.5
RD vs No Adenoma	RD (95% CI)	P Value	RD (95% CI)	P Value	RD (95% CI)	P Value	RD (95% CI)	P Value	RD (95% CI)	P Value	RD (95% CI)	P Value	RD (95% CI)	
At 10-y	14.6 (8.8 to 20.4)	<.001	14.4 (7.8 to 21.0)	<.001	15.0 (3.6 to 26.4)	.01	1.4 (-1.7 to 4.5)	.38	1.1 (-6.6 to 8.8)	.78	1.4 (-1.9 to 4.7)	.40	0 [Reference]	
At 15-y	12.5 (7.5 to 17.5)	<.001	11.7 (6.1 to 17.3)	<.001	14.9 (4.7 to 25.1)	.004	1.6 (-1.4 to 4.6)	.29	2.7 (-5.1 to 10.5)	.49	1.4 (-1.5 to 4.5)	.37	0 [Reference]	
RR vs No Adenoma	RR (95% CI)	P Value	RR (95% CI)	P Value	RR (95% CI)	P Value	RR (95% CI)	P Value	RR (95% CI)	P Value	RR (95% CI)	P Value	RR (95% CI)	
At 10-y	3.3 (2.2 to 4.8)	<.001	3.2 (2.1 to 4.9)	<.001	3.3 (1.8 to 6.0)	<.001	1.2 (0.8 to 1.9)	.37	1.2 (0.4 to 3.2)	.77	1.2 (0.8 to 1.9)	.37	1 [Reference]	
At 15-y														
Unadjusted	2.7 (1.9 to 3.7)	<.001	2.6 (1.8 to 3.7)	<.001	3.0 (1.8 to 4.9)	<.001	1.2 (0.8 to 1.7)	.30	1.4 (0.6 to 3.0)	.44	1.2 (0.8 to 1.7)	.37	1 [Reference]	
ARR (model) <sup>b</sup>	2.6 (1.9 to 3.7)	<.001	2.5 (1.8 to 3.7)	<.001	2.8 (1.7 to 4.7)	<.001	1.2 (0.8 to 1.7)	.32	1.2 (0.5 to 2.7)	.71	1.2 (0.8 to 1.7)	.33	1 [Reference]	
ARR (model excluding participants with inadequate colonoscopy $[N = 1213])^{b,c}$	3.0 (2.1 to 4.3)	<.001	2.9 (2.0 to 4.3)	<.001	3.2 (1.8 to 5.5)	<.001	1.3 (0.9 to 1.9)	.20	1.3 (0.9 to 1.9)	.73	1.2 (0.5 to 2.9)	.19	1 [Reference]	
Abbreviations: ARR, adjusted rate ratio; RD, rate difference; RR, rate ratio.	o; RD, rate differenc	e; RR, rate	e ratio.			° Exc	cludes participant	s with inac	Jequate colonoso	2Dy (n = 12	13). If the colonos	scopy prep	$^{\circ}$ Excludes participants with inadequate colonoscopy (n = 1213). If the colonoscopy preparation was designated as	nated as
<sup>a</sup> Advanced adenomas <1 cm were defined as villous or tubulovillous or high-grade dysplasia on histology.	ned as villous or tub	ulovillou	s or high-grade dys	splasia on	histology.	.in	adequate or if the i	cecum wa. rted but th	s not visualized, tl	alized, the	ation was classified	d as inade	inadequate or if the cecum was not visualized, the examination was classified as inadequate. If the preparation on ality was not remorted but the cecum was visualized, the colonoscony examination was considered adenuate	ration equate.
<sup>b</sup> Adjusted risk-ratio from Poisson regression (controlling for age, sex, aspirin or ibuprofen use, and time of initial colonoscopy [baseline vs postbaseline] for 15-y data).	e] for 15-y data).	or age, se	ex, aspirin or ibupro	ofen use, ä	and time of initial	F		5						5

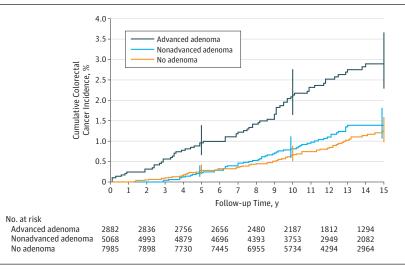
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Error bars indicate 95% CIs at the given time point. Median time of follow-up was 13.6 years (interquartile range [IQR], 10.3-15.0) for advanced adenoma, 13.1 years (IQR, 9.9-15.0) for nonadvanced adenoma, and 12.5 years (IQR, 9.7-15.0) for no adenoma. *P* values for pairwise comparisons (log-rank test) were P < .001 for advanced adenoma vs no adenoma, P < .001 for advanced adenoma vs nonadvanced adenoma, and P = .32 for nonadvanced adenoma vs no adenoma.

1.1% to 1.8%) in the nonadvanced adenoma group, and 1.2% (95% CI, 1.0% to 1.6%) in the no adenoma group (**Figure 2**). Absolute differences were 1.7% (95% CI, 0.9% to 2.4%; P < .001) for advanced adenoma vs no adenoma, 0.2% (95% CI, -0.3% to 0.6%; P = .69) for nonadvanced adenoma vs no adenoma, and 1.5% (95% CI, 0.7% to 2.3%; P < .001) for advanced adenoma vs no advanced adenoma.

Within the advanced adenoma category, there was no significant difference in incidence rate per 10 000 person-years between those with adenomas of 1 cm or larger vs those with adenomas less than 1 cm but with advanced histology (19.2 [95% CI, 13.9 to 24.5] for  $\geq$  1 cm vs 22.4 [95% CI, 12.3 to 32.5] for <1 cm , *P* = .58; rate difference, 3.2 [95% CI, -8.3 to 14.4]) (Table 2). Within advanced adenoma less than 1 cm, there was no significant difference in CRC incidence per 10 000 person-years between high-grade dysplasia and villous or tubulovillous histology (28.6 [95% CI, 0.6 to 56.6] for high-grade dysplasia vs 21.1 [95% CI, 10.4 to 31.8] for villous or tubulovillous histology, *P* = .59; rate difference, 7.5 [95% CI, -22.5 to 37.5]).

In the advanced adenoma group, there was no significant difference in CRC risk between years 5 to 10 compared with years 0 to 5 (rate ratio [RR], 1.2 [95% CI, 0.7 to 2.0]; P = .49; rate difference, 3.9 [95% CI, -7.2 to 15.0]). There was no significant difference between participants with 3 or more non-advanced adenomas and those with no adenomas (RR, 1.4 [95% CI, 0.6 to 3.0], P = .44; rate difference, 2.7 [95% CI, -5.1 to 10.5]).

Multiple Poisson regression analysis demonstrated a significantly increased risk of CRC in advanced adenoma compared with no adenoma participants (RR, 2.6 [95% CI, 1.9 to 3.7]; P < .001) (**Table 3**). There was no significant difference between nonadvanced adenoma and no adenoma (RR, 1.2 [95% CI, 0.8 to 1.7], P = .32).

Within the nonadvanced adenoma group, participants with 3 or more nonadvanced adenomas were not at significantly higher CRC risk compared with those with 1 to 2 nonadvanced adenoma(s) (RR, 1.01[95% CI, 0.4 to 2.4]; P = .98). Limiting the analysis to 14722 (92.4%) participants with an ad-

equate colonoscopy did not significantly alter the results (Table 3). All Poisson regression models showed adequate goodness of fit.

Of the 196 CRC cases, the majority (59%) were proximal, whereas 16 (8%) were in an unknown location. Compared with distal advanced adenoma, participants with a proximal advanced adenoma at the index colonoscopy were at significantly greater overall risk for subsequent CRC (RR, 2.6 [95% CI, 1.6 to 4.3]; *P* < .001; rate difference, 23.9 [95% CI, 7.9 to 39.9]) (eTable 2 in Supplement 2). Advanced adenoma location was not significantly associated with subsequent CRC location; proximal CRC comprised 54% (19 of 35) of cases in those with distal advanced adenomas vs 78% (18 of 23) of cases in those with proximal advanced adenomas (P = .06). Among those with 1 to 2 nonadvanced adenomas, there was no significant association between initial nonadvanced adenoma location and risk for CRC (RR, 1.5 [95% CI, 0.7 to 2.8]; P = .24; rate difference, 3.8 [95% CI, -3.1 to 10.7]) for proximal only vs distal only adenoma location. There was also no significant association between nonadvanced adenoma location and subsequent CRC location, with proximal CRC comprising 57% (16 of 28) and 62% (8 of 13) of CRC cases in those with distal adenomas and proximal adenomas, respectively (P = .79) (eTable 2 in Supplement 2).

The majority (56.6%) of CRC detected was stage I or II, whereas 32.7% were stage III or IV and 10.7% were unknown (eTable 3 in Supplement 2). There was no significant difference in stage I or II CRC by adenoma group (advanced adenoma, 60% [42 of 70 cases]; nonadvanced adenoma, 54.5% [30 of 55 cases]; no adenoma, 54.9% [39 of 71 cases]; P = .78) or in stage III or IV CRC (advanced adenoma, 31.4% [22 of 70 cases]; nonadvanced adenoma, 32.7% [18 of 55 cases], no adenoma, 33.8% [24 of 71 cases]; P = .96).

Within the no adenoma group, there were 4410 (55.2%) participants with hyperplastic polyp(s) or other nonadenomatous lesion(s) and 3575 (44.8%) participants with no polyp findings on follow-up colonoscopy. There were 42 CRC cases

	Advanced Adenoma	ama					Nonadvanced Adenoma	denoma					emonaph oN	
	All (n = 2882)		≥1 cm (n = 2178)	3)	<1 cm (n = 704) <sup>a</sup>	a (	All (n = 5068)		≥3 (n = 572)		1-2 (n = 4496)		All $(n = 7985)$	
	No. of Cases	Rate	No. of Cases	Rate	No. of Cases	Rate	No. of Cases	Rate	No. of Cases	Rate	No. of Cases	Rate	No. of Cases	Rate
No. of person-years at 15 y	34708		26 294		8414		59418		6741		52677		91 906	
<b>Colorectal Cancer Mortality</b>														
Within 15 y	13	3.8	6	3.4	4	4.8	10	1.7	1	1.5	6	1.7	13	1.4
RD vs No Adenoma	RD (95% Cl)	P Value	RD (95% CI)	P Value	RD (95% CI)	P Value	RD (95% CI)	P Value	RD (95% CI)	P Value	RD (95% CI)	P Value	RD (95% CI)	
At 15 y	2.4 (0.2 to 4.6)	.03	2.0 (-0.3 to 4.3)	60.	3.4 (-1.4 to 8.2)	.16	0.3 (-1.0 to 1.6)	.65	0.1 (-2.9 to 3.1)	.95	0.3 (-1.0 to 1.6)	.66	0 [Reference]	
RR vs No Adenoma	RR (95% CI)	P Value	RR (95% CI)	P Value	RR (95% CI)	P Value	RR (95% CI)	P Value	RR (95% CI)	P Value	RR (95% CI)	P Value	RR (95% CI)	
At 15 y	2.6 (1.2 to 5.7)	.01	2.4 (1.0 to 5.6)	.04	3.4 (1.1 to 10.2)	.03	1.2 (0.5 to 2.7)	.68	1.05 (0.1 to 8.1)	96.	1.2 (0.5 to 2.8)	.66	1 [Reference]	
Abbreviations: RD, rate difference; RR, rate ratio.	ice; RR, rate ratio.			·   ·										

(8.1 per 10 000 person-years [95% CI, 5.7 to 10.6]) within the hyperplastic or nonadenomatous polyp group and 29 cases (6.8 per 10 000 person-years [95% CI, 4.3 to 9.3]) in participants with no polyp findings, with no significant difference between them (P = .47; RR, 1.2 [95% CI, 0.7 to 1.9]; rate difference, 1.3 [95% CI, -2.2 to 4.8]).

## Participants With a Negative Screening Result

Distal CRC incidence was compared in 42 348 participants with a negative TO FSG result with the 4811 participants with a positive TO FSG result but no adenomatous findings on subsequent colonoscopy. Distal incidence rates per 10 000 personyears were not significantly different (3.0 [95% CI, 1.7 to 4.4] for the no adenoma group vs 2.3 [95% CI, 1.9 to 2.7] for the negative FSG result group; RR, 1.3 [95% CI, 0.8 to 2.0], P = .32; rate difference, 0.7 [95% CI, -0.7 to 2.1]). For overall CRC, rates were 8.7 (95% CI, 6.4 to 11.0) for the no adenoma group vs 8.3 (95% CI, 7.6 to 9.1) for the negative FSG result group (RR, 1.05 [95% CI, 0.8 to 1.4]; P = .74; rate difference, 0.4 [95% CI, -2.0 to 2.8]).

#### Subsequent Colonoscopy Utilization and Findings

Of 3561 participants in the study of colonoscopy utilization, 3492 (98.1%) were included in the current study, with a median length of follow-up after index colonoscopy of 9.0 years. Subsequent colonoscopy utilization was significantly higher in the advanced adenoma compared with both nonadvanced adenoma and no adenoma (82.5% for advanced adenoma vs 78.7% for nonadvanced adenoma [P = .008] vs 69.9% for no adenoma [P < .001]), although the 3 or more nonadvanced adenomas group had the highest proportion of subsequent colonoscopy (83.0%) during the 9-year period (Table 4). Only a small percentage of subsequent colonoscopies were for symptoms (advanced adenoma, 10.6%; nonadvanced adenoma, 14.0%; no adenoma, 19.4%) during the 9-year follow-up period. Advanced adenoma participants had a significantly higher rate of subsequent adenoma removal than nonadvanced adenoma and no adenoma participants (9-year rate: 40.4% for advanced adenoma vs 33.2% for nonadvanced adenoma [P < .001] vs 20.3% for no adenoma [P < .001]), as well as a significantly higher rate of subsequent advanced adenoma removal (13.0% for advanced adenoma vs 7.6% for nonadvanced adenoma [P < .001] vs 4.8% for no adenoma [P < .001]). Compared with the no adenoma group, participants with 1 to 2 nonadvanced adenoma(s) had an 8.2% higher frequency of subsequent colonoscopy (78.1% for the nonadvanced adenoma group vs 69.9% for the no adenoma group, P = .001), a 10.8% higher adenoma removal frequency (31.1% for the nonadvanced adenoma group vs 20.3% for the no adenoma group, P < .001), and a 2.3% higher advanced adenoma removal rate (7.1% for the nonadvanced adenoma group vs 4.8% for the no adenoma group, P < .001).

#### **Colorectal Cancer Mortality**

Through the end of the follow-up period there were 36 deaths from CRC (13 advanced adenoma, 10 nonadvanced adenoma, 13 no adenoma) (Table 3). Compared with participants with no adenoma, those with advanced adenoma were at a significantly increased risk of CRC death (RR, 2.6 [95% CI, 1.2 to 5.7],

	Advance	d Adenoma	Nonadv	anced Adenoma	- No Adenoma					
	(n = 1304)		All (n = 980)		≥3 (n = 123	3)	1-2 (n = 857)		(n = 1)	
Subsequent Procedure by Years of Follow-up	No. at Risk <sup>b</sup>	Cumulative, No. With Event (%) <sup>c</sup>	No. at Risk	Cumulative No. With Event (%) <sup>c</sup>	No. at Risk	Cumulative No. With Event (%) <sup>c</sup>	No. at Risk	Cumulative No. With Event (%) <sup>c</sup>	No.at Risk	Cumulative No. With Event (%)
Colonoscopy <sup>d</sup>										
3	834	443 (33.4)	771	178 (20.5)	92	29 (25.7)	679	149 (19.8)	1022	174 (11.4)
5	452	842 (62.5)	437	531 (53.9)	50	73 (59.9)	387	458 (53.0)	661	531 (36.9)
7	255	1011 (76.3)	261	712 (72.2)	29	94 (77.0)	232	618 (71.5)	399	768 (59.9)
9	99	1070 (82.5)	117	769 (78.7)	12	102 (83.0)	105	667 (78.1)	146	843 (69.9)
Adenoma removal										
3	1116	188 (13.2)	917	63 (6.1)	109	14 (10.5)	808	49 (5.5)	1169	39 (2.7)
5	954	350 (26.5)	796	184 (18.2)	84	39 (30.5)	712	145 (16.4)	1084	124 (8.8)
7	720	442 (34.1)	707	263 (26.6)	70	53 (42.6)	637	210 (24.3)	925	185 (15.7)
9	309	494 (40.4)	344	318 (33.2)	37	57 (47.4)	307	261 (31.1)	396	225 (20.3)
Advanced adenoma removal										
3	1254	50 (3.5)	964	16 (1.5)	120	3 (2.1)	844	13 (1.4)	1201	7 (0.4)
5	1203	101 (7.7)	939	41 (4.0)	114	9 (6.9)	825	32 (3.6)	1181	27 (1.8)
7	968	133 (10.4)	911	56 (5.5)	110	12 (9.1)	801	44 (5.0)	1058	43 (3.8)
9	446	154 (13.0)	475	73 (7.6)	62	13 (10.7)	413	60 (7.1)	474	55 (4.8)

Table 4. Subsequent Colonoscopy Usage and Yield by Baseline Colonoscopy Findings in Participants Enrolled in the Study of Colonoscopy Utilization<sup>a</sup>

<sup>a</sup> Participants were considered at risk for colonoscopy until undergoing first subsequent colonoscopy. If there were no adenomas removed, the participant was still considered at risk for adenoma removal.

<sup>b</sup>No. at risk indicates number at risk at the given time point.

analysis, in which weights were the inverse sampling weights from the study of colonoscopy utilization.

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<sup>d</sup> Median follow-up for subsequent colonoscopy was 9.0 y.

P = .01), but those with nonadvanced adenoma were not (RR, 1.2 [95% CI, 0.5 to 2.7], P = .68). The rate difference per 10 000 person-years for the advanced adenoma and nonadvanced adenoma groups compared with the no adenoma group was 2.4 (95% CI, 0.2 to 4.6) and 0.3 (95% CI, -1.0 to 1.6), respectively.

## Discussion

This post hoc follow-up of a randomized clinical trial of flexible sigmoidoscopy for a median observation time of 13 years demonstrates that participants with an advanced adenoma detected at colonoscopy were at significantly increased risk of subsequent colorectal cancer compared with those with no adenoma. Participants with nonadvanced adenoma did not have a significantly different CRC risk compared with those with no adenoma.

The risk in participants with advanced adenoma was unlikely to be due to partial removal of the initial lesion, as the risk in the first 5 years was not significantly different from risk between years 5 through 10. These data are consistent with studies that demonstrate increased CRC risk in patients with a history of an advanced adenoma.<sup>12,29-31</sup> However, these data show a higher risk than that observed in a Norwegian study<sup>13</sup> of colorectal cancer mortality in patients with a high-risk adenoma (standardized mortality ratio, 1.16 [95% CI, 1.02 to 1.31]). Other studies have demonstrated that finding an advanced adenoma on colonoscopy significantly increases the risk of finding an advanced adenoma on subsequent colonoscopy compared with those with no adenoma.<sup>18,27,30,32-36</sup> The current data provide long-term assessment of incident CRC risk in a large population in comparison with a control group of nonadvanced and no adenoma. By demonstrating that individuals diagnosed with an advanced adenoma are at increased longterm risk for subsequent incident CRC, these findings support periodic, ongoing surveillance colonoscopy in these patients. Data from the United Kingdom in participants with advanced adenomas demonstrated a cumulative CRC incidence of 1.8% (210 cases of 11944 participants) after median follow-up of 7.9 years, similar to the current observed incidence of 1.9% (56 cases of 2882 participants) through 10 years of follow-up. Furthermore, in the UK study,14 surveillance colonoscopy was associated with a 50% to 60% reduction in subsequent CRC incidence. In the current study, proximal advanced adenoma location was significantly associated with overall subsequent CRC risk compared with distal advanced adenoma location.

There was no significant difference in CRC incidence in participants with advanced adenoma, whether the adenoma was 1 cm or larger or smaller than 1 cm with advanced histology. European guidelines offer the option of returning patients with an adenoma smaller than 1 cm with advanced histology to a low-risk group with a standard 10-year surveillance interval.<sup>10</sup> The current data suggest this group is not significantly different in risk compared with those with a large adenoma and therefore they should continue to be followed with more frequent surveillance.

Participants with 1 to 2 nonadvanced adenoma(s) were not at significantly increased risk for CRC incidence compared with those with no adenoma. However, the 95% CIs are wide and may include a potentially clinically important effect size (RR: 1.7 for incidence and 2.8 for mortality) because the study had

limited power to detect a significant difference. Furthermore, increased surveillance colonoscopy use and additional adenoma and advanced adenoma removal among participants with 1 to 2 nonadvanced adenoma compared with those with no adenoma in the follow-up period could have contributed to a lower cancer incidence rate in participants with 1 to 2 nonadvanced adenomas. However, the difference in colonoscopy use (8.2%) and adenoma removal (10.8%) between the nonadvanced adenoma and the no adenoma groups was small.

Current US guidelines recommend a colonoscopy follow-up interval of 5 to 10 years for patients with 1 to 2 nonadvanced adenoma(s),<sup>11</sup> but the decision between 5 and 10 years is often left to physician discretion and evidence-based recommendations are unavailable.<sup>10</sup> Individuals with no adenomatous findings on colonoscopy are advised to return in 10 years. If appropriately powered prospective trials were to replicate these findings demonstrating no significant difference in cancer incidence between participants with 1 to 2 nonadvanced adenoma(s) and no adenomas, colonoscopy use could be reduced by a large extent, as a surveillance examination at 5 years would not be needed. Small nonadvanced adenomas are the most common neoplastic finding on colonoscopy–occurring in about 30% of patients.<sup>9,37</sup> The European Polyp Surveillance randomized clinical trial (EPoS, NCT02319928) is randomizing participants with 1 to 2 nonadvanced adenoma(s) to surveillance at 5 and 10 years vs surveillance at 10 years to determine if surveillance at 5 years will reduce CRC incidence and a similar type trial, FORTE, is in the planning stages in the United States.<sup>38</sup>

Participants with 3 or more adenomas are considered higher risk than those with 1 to 2 nonadvanced adenoma(s), and guidelines advise repeat colonoscopy examination in 3 years. In the current study, there was no significant difference in cancer incidence when comparing those with 3 or more adenomas to those with 1 to 2 nonadvanced adenoma(s), but the number of patients with 3 or more adenomas was small resulting in estimates with wide CIs that did not provide adequate guidance. Furthermore, subsequent colonoscopy was highly utilized in patients with 3 or more adenomas.

There are several strengths of the current study. It included participants cared for throughout the United States in a variety of practice settings and is thus representative of standard practice. Use of surveillance colonoscopy was tracked for 21.9% of the sample, so the effect of additional colonoscopy examinations could be estimated. The cohort was closely followed for CRC incidence with few lost to follow-up. Overall compliance with the annual study update was 93.8%.<sup>2</sup>

#### Limitations

This study has several limitations. First, the colonoscopy examination followed an abnormal or positive FSG result. As such, participants in the no adenoma group may not be representative of individuals with a negative initial colonoscopy result. However, distal and overall CRC incidence in participants with a negative FSG result were not statistically significantly different from those with a positive FSG result but no adenomatous findings on subsequent colonoscopy. Additionally, in the group with an abnormal sigmoidoscopy but no adenoma at follow-up colonoscopy, CRC incidence was not significantly different among those with hyperplastic polyps or nonadenomatous lesion(s) compared with those with no findings.

Second, although most participants (88.6%) had not had a lower gastrointestinal endoscopy in the 3 years prior to enrollment, information on previous examinations was not available. Thus, some participants may have had lower gastrointestinal endoscopy and removal of adenomas prior to enrollment in the trial that was not accounted for. However, the cohort was followed for up to 15 years, ample time for CRC risk to emerge. Third, colonoscopy follow-up was not standardized following the initial examination, was not uniform across adenoma groups, and data on subsequent colonoscopy use was only available for a minority of the study population. Fourth, limited data on the quality of colonoscopy was available; for example, endoscopists' adenoma detection rates were not available, but restricting the analysis to participants with adequate colonoscopy preparation and confirmed cecal intubation did not affect the results. Fifth, pathology was not centrally reviewed, although this could be a strength because these assessments reflect real-world interpretations. Sixth, endoscopic procedures and pathologic assessments were performed from 1993 to 2006, and whether those findings are directly applicable to current techniques cannot be known with certainty. Seventh, this study was a post hoc analysis with limited statistical power.

## Conclusions

Over a median of 13 years of follow-up, participants with an advanced adenoma at diagnostic colonoscopy prompted by a positive screening flexible sigmoidoscopy result were at significantly increased risk of developing colorectal cancer compared with those with no adenoma. Identification of nonadvanced adenoma may not be associated with increased colorectal cancer risk.

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Concept and design: Click, Pinsky, Schoen. Acquisition, analysis, or interpretation of data: All authors.

*Drafting of the manuscript:* Click, Pinsky, Hickey, Schoen.

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